

1 **LOUISIANA COASTAL PROTECTION AND RESTORATION**
2 **TECHNICAL REPORT**

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11 **NONSTRUCTURAL PLAN COMPONENT APPENDIX**

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26 **U. S. Army Corps of Engineers**
27 **New Orleans District**
28 **Mississippi Valley Division**
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I. INTRODUCTION

Following Hurricanes Katrina and Rita in 2005, the U.S. Army Corps of Engineers (USACE) was directed to develop plans for an integrated system that would provide to the people of South Louisiana risk reduction from Category 5 hurricanes. As stated in the Supplemental Policy Guidance Memorandum, dated 28 Aug 2006:

The final LACPR (Louisiana Coastal Protection and Restoration) report will fully respond to the direction provided by Congress to conduct a comprehensive hurricane protection analysis that develops and presents a full range of flood control, coastal restoration, and hurricane and storm damage reduction measures for South Louisiana in a comprehensive and integrated system approach.

Nonstructural measures are one component of an integrated system. This group of measures offers strategies for reducing exposure to storm hazards through management of development in the floodplain, in combination with, or perhaps instead of, structures such as berms and floodwalls. Nonstructural measures contribute to community resiliency through risk reduction of residential structures, commercial buildings, and especially critical facilities that provide a base for emergency response and a post-storm foothold for recovery. Nonstructural measures are one line in a multiple-lines-of-defense strategy for reducing and managing hurricane risks and for providing redundant risk reduction.

A. Authority

Section 73 of the 1974 Water Resources Development Act states that nonstructural measures will be considered for all Federal civil works projects. The Supplemental Policy Guidance Memorandum, dated 28 Aug 2006, guidance specific to the LACPR, requires that nonstructural measures be considered with other structural and ecosystem restoration measures to create a comprehensive systems approach to risk reduction from tropical events.

The LACPR Supplemental Policy Guidance Memorandum directs the effort to:

- Integrate hurricane and storm damage reduction and coastal restoration, and include nonstructural measures.
- Coordinate all measures closely with FEMA and the Department of Interior, and utilize the USACE National Nonstructural Committee.

To meet that directive the USACE's National Nonstructural Flood-Proofing Committee provided nonstructural plan formulation and evaluation to the LACPR effort.

B. Scope

The scope of the nonstructural analysis entails three aspects of investigation. The first aspect is a holistic evaluation of the entire southern Louisiana coast for opportunities for risk reduction to establish areas for further in-depth analysis. The intention of this effort is to create a programmatic approach to implementation of nonstructural measures in a comprehensive and systematic manner.

The second aspect is to identify demonstration projects of specific size and location where nonstructural measures could be implemented in the near-term. The development of demonstration projects requires close coordination with local communities, the State, Federal and local agencies, and supports local desires for risk reduction and economic recovery. These demonstration projects are intended to discover the challenges and opportunities that exist for future collaboration among the USACE, other agencies, and local governments in implementing nonstructural measures.

The third aspect of the nonstructural analysis is to identify public and private facilities that are critical to the health and safety of the public and to develop means whereby those facilities can be flood proofed to withstand assault from the forces of tropical events. These facilities are defined as hospitals, police and fire protection facilities, public administration buildings, and schools that are highly vulnerable to risk based on their location but are important to the local communities in the aftermath of storms.

The scope of the nonstructural analysis was scaled to the time allocated, level of precision of the available data, and the spatial extent of the area of analysis. The LACPR evaluation covers a 26-parish area across the entire breadth of South Louisiana. The nonstructural analysis relies on information that was developed for the LACPR effort as a whole, such as the hydrology and structure inventory, and from secondary sources, such as delineated risk zones determined by the Federal Emergency Management Agency (FEMA) or zones targeted for redevelopment as identified by the City of New Orleans.

Because of the gross level of analysis and the nature of the hazard in South Louisiana, two nonstructural measures are primarily applied to this analysis: buyout and/or permanent relocation of structures and raising-in-place of structures. These measures were chosen because of their applicability to risk reduction in light of the hazards produced during coastal storm events. However, other nonstructural measures will also be considered in subsequent studies.

II. NONSTRUCTURAL MEASURES

Nonstructural flood proofing measures as applied within the USACE planning arena can be defined as any combination of structural or nonstructural changes or adjustments incorporated in the design, construction, or alteration of individual structures or properties that will reduce flood damages. Simply stated, flood proofing includes any effort to reduce flood damage to individual structures and their contents. The term “nonstructural” is used in this report to distinguish

Federal actions from the traditional larger Federal structural measures considered for risk reduction.

A. Variety

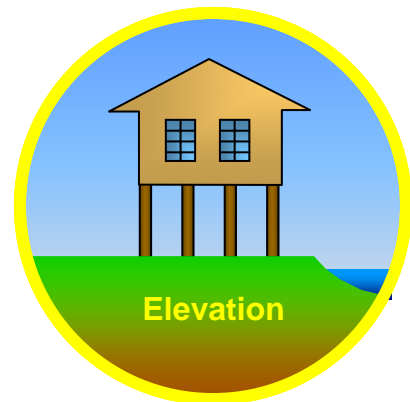
Nonstructural measures remediate risk, not by altering the nature of the hazard, but by removing vulnerable people and property from the storm and flood threat or by protecting vulnerable assets by actions taken to those assets. Nonstructural measures include wet and dry flood proofing, flood warning, raising-in-place from lifting on pilings or on fill, relocations of property improvements, and buyouts of properties. Except for flood warning systems, nonstructural measures generally take effect on privately-owned property and require that the non-Federal sponsor take an active role in implementation.

Flood proofing measures either reduce the number of times the structure is flooded or limit the potential damage to the structure and its contents when it is flooded. There are four general approaches to flood proofing:

- Elevating the structure.
- Relocating the structure.
- Constructing barriers such as floodwalls or berms to stop floodwaters from damaging the structure.
- Modifying the structure through flood proofing and relocating contents to minimize flood damage.

1. Elevation

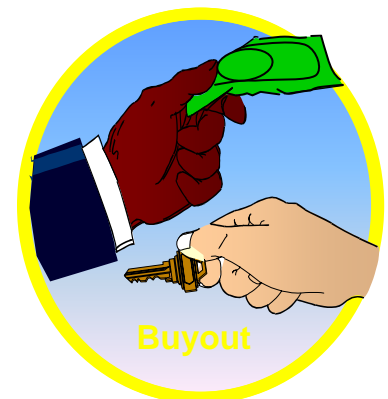
Elevation involves raising structures in place so that the lowest floor is above the flood level for which flood proofing protection is designed. The building is raised and set on a new or extended foundation. Temporary living expenses may be paid to the property owner as needed during the elevation process.



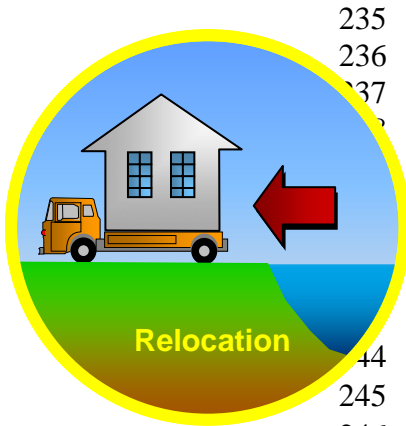
2. Relocation and Buyout

Buying out or relocating a structure is the most dependable way to flood proof. Buyouts entail selling the structure to the non-Federal sponsor for demolition or salvage, evacuating the property, and relocating the property owner to another site outside the 100-year floodplain.

In addition to receiving fair market value for the property acquired, owners of real property acquired for Federal projects are entitled to receive relocation assistance under Public Law 91-646, the Uniform Relocation Assistance and Real Property Acquisition Policies Act of



1970. Such assistance generally consists of a replacement housing payment and payment for moving expenses. A displaced homeowner may receive up to \$22,500 to acquire a comparable replacement dwelling. Generally the replacement housing payment is the difference between the fair market value of the home acquired and the cost to acquire a comparable home at a site with reduced flood risk, typically outside the 100-year floodplain. The displaced homeowner is entitled to decent, safe, and sanitary accommodations as part of relocation assistance.



Property relocation involves lifting and moving the flood-prone structure to another location away from flood hazards. This process involves physically moving the improvement to a site outside the floodplain. Temporary relocation assistance is provided as part of the cost of relocating structures.

3. Floodwalls and Berms (with/without Closures)

Floodwalls and berms are located away from the structure to be protected and prevent the encroachment of floodwaters. They may completely surround the structure or protect only the low side of the property. Unlike other flood proofing measures, a well-designed and constructed freestanding floodwall or berm results in no floodwater forces on the structure itself. Consequently, as long as the floodwall or berm is not overtopped or otherwise failed, the structure is not exposed to damaging hydrostatic or hydrodynamic forces. With these kinds of measures, there is no need to make structural alterations to the building or structure to be protected. These measures require installation of a sump pump or other feature to drain seepage water flowing through or under the berm or floodwall, and rainwater falling inside the berm or floodwall.



4. Dry Flood Proofing

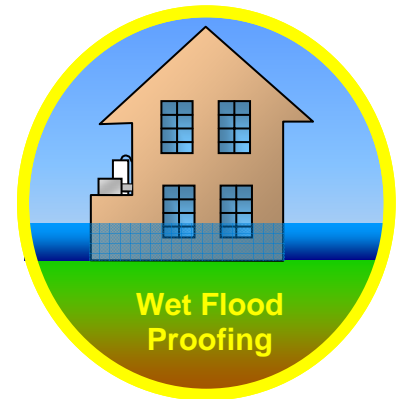
Dry flood proofing involves sealing the walls of structures such as buildings with waterproofing compounds, impermeable sheeting, or other materials and using closures for covering and protecting openings from floodwaters. Dry flood proofing is most applicable in areas of shallow, low-velocity flooding.

Dry flood proofing has limited applicability depending on flood depth, hydrodynamic forces, and building type. Conventionally constructed brick veneer on a wood frame or concrete block walls should not be flood proofed above a height of three feet because of the danger of structural failure from hydrostatic forces. Residential construction is not flood proofed.



5. Wet Flood Proofing

If dry flood proofing is impossible or too costly, another option is wet flood proofing, which allows the structure to flood inside while ensuring minimal damage to the building and any contents. By allowing the force of the water to pass through a building, the interior flooding allows hydrostatic force on the inside of the building walls to equally counteract the hydrostatic force on the outside, thus eliminating the chance of structural failure. Wet flood proofing is most applicable to nonresidential buildings such as high-rise office buildings where the ground floor can be converted to an open lobby while other building uses are elevated to upper floors.



B. Flood Proofing Matrix

A flood proofing matrix (**Table 1**) has been included in this report to better associate the relationship of flood characteristics, site characteristics, and structure characteristics to the applicability of particular flood proofing measures. Aspects of the matrix are described as follows:

Flooding characteristics. This characteristic addresses four basic phenomena of floods: flood depth, flood velocity, warning time prior to a flood event, and the presence of ice and debris. Each of these flood characteristics is critical when applying the appropriate measure to mitigate flood effects

Site characteristics. This characteristic addresses two basic site issues: (1) flooding, either coastal or riverine and (2) soil type, either permeable or impermeable. Coastal locations, especially at a beachfront location, dictate the use of site specific measures more so than does

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riverine flooding. Soil type becomes an issue if the soil has high permeability which excludes certain measures from consideration.

Building characteristics. Structure foundation, structure construction, and structure condition are very important elements for consideration when applying nonstructural measures. These factors, especially structure condition and structure foundation, dictate the applicability of various nonstructural measures.

National Economic Development (NED), National Ecosystem Restoration (NER), Recreational Opportunities and Social Characteristics. These characteristics deal directly with issues relative to the ability to implement and the impacts of implementing a flood damage reduction measure. Issues such as cost and the factors of cost such as flood insurance, emergency response, and disaster relief are important elements for consideration. Hydrologic and environmental impacts; potential for induced development; compatibility with ecosystem restoration or recreation uses; and population impacts are also important considerations for nonstructural measure implementation.

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324 **Table 1. Flood Damage Reduction Matrix**

| FLOOD DAMAGE REDUCTION MATRIX | | FLOOD DAMAGE REDUCTION MEASURES | | | | | | | | | | | | | | | | STRUCTURAL MITIGATION MEASURES | | | |
|--|---|------------------------------------|--------------------|-------------------------------|--------------------|-------------------|------------|--------------------|-----------------------|-------------------------------------|--------------------|--------------------|----------------------------|-----------------|--------------------|-----|---|--------------------------------|---|---|--|
| | | NON-STRUCTURAL MITIGATION MEASURES | | | | | | | | | | | | | | | | STRUCTURAL MITIGATION MEASURES | | | |
| | | Elevation on Foundation Walls | Elevation on Piers | Elevation on Posts or Columns | Elevation on Piles | Elevation on Fill | Relocation | Buyout/Acquisition | Floodwalls and Levees | Floodwalls and Levees with Closures | Dry Flood Proofing | Wet Flood Proofing | Flood Warning Preparedness | NFIP | | | | | | | |
| | | | | | | | | | | | | | Flood Plain Regulation | Flood Insurance | Flood Mitigation 1 | | | | | | |
| Flooding Characteristics | Flood Depth | | | | | | | | | | | | | | | | | | | | |
| | Shallow (<3 ft) | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | | |
| | Moderate (3 to 6 ft) | Y | Y | Y | Y | Y | Y | Y | Y | N | Y | Y | Y | Y | Y | Y | Y | Y | Y | | |
| | Deep (greater than 6 ft) | Y | N | Y | Y | Y | Y | Y | Y | N | Y | Y | Y | Y | Y | Y | Y | Y | Y | | |
| | Flood Velocity | | | | | | | | | | | | | | | | | | | | |
| | Slow (less than 3 fps) | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | | |
| | Moderate (3 to 5 fps) | N | N | Y | Y | Y | Y | Y | Y | N | N | Y | Y | Y | Y | Y | Y | Y | Y | | |
| | Fast (greater than 5 fps) | N | N | N | Y | N | Y | Y | Y | N | N | N | Y | Y | Y | Y | Y | Y | Y | | |
| | Flash Flooding | | | | | | | | | | | | | | | | | | | | |
| | Yes (less than 1 hour) | Y | Y | Y | Y | Y | Y | Y | Y | N | N | N | Y | Y | Y | Y | Y | Y | Y | | |
| | No | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | | |
| | Ice and Debris Flow | | | | | | | | | | | | | | | | | | | | |
| | Yes | N | N | N | Y | Y | Y | Y | Y | Y | N | N | Y | Y | Y | Y | Y | Y | Y | | |
| | No | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | | |
| Site Characteristics | Site Location | | | | | | | | | | | | | | | | | | | | |
| | Coastal Flood Plain | | | | | | | | | | | | | | | | | | | | |
| | Beach Front | N | N | N | Y | N | Y | Y | N | N | N | N | Y | Y | Y | Y | N | 2 | N | | |
| | Interior (Low Velocity) | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | N | Y | N | | |
| | Riverine Flood Plain | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | | |
| | Soil Type | | | | | | | | | | | | | | | | | | | | |
| Building Characteristics | Permeable | Y | Y | Y | Y | Y | Y | Y | N | N | N | Y | Y | Y | Y | Y | Y | Y | Y | | |
| | Impermeable | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | | |
| | Structure Foundation | | | | | | | | | | | | | | | | | | | | |
| | Slab on Grade | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | | |
| | Crawl Space | Y | Y | Y | Y | Y | Y | Y | Y | Y | N | Y | Y | Y | Y | Y | Y | Y | Y | | |
| | Basement | Y | N | N | N | N | Y | Y | Y | Y | N | Y | Y | Y | Y | Y | Y | Y | Y | | |
| | Structure Construction | | | | | | | | | | | | | | | | | | | | |
| | Concrete or Masonry | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | | |
| | Metal | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | | |
| | Wood | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | | |
| | Structure Condition | | | | | | | | | | | | | | | | | | | | |
| | Excellent to Good | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | | |
| | Fair to Poor | N | N | N | N | N | N | Y | Y | Y | N | N | Y | Y | Y | 3 | Y | Y | Y | | |
| NED/NER/Recreation/Social Characteristics | Economic | | | | | | | | | | | | | | | | | | | | |
| | Structure Protected | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | N | 5 | N | Y | Y | Y | Y | Y | | |
| | Cost to Implement | M | M | M | M | M | H | H | M | M | L | L | L | L | L | H/M | H | H | H | | |
| | Potential Flood Insurance Cost Reduction (Residential) | Y | Y | Y | Y | Y | Y | Y | N | N | N | N | N | Y | - | Y | Y | Y | Y | | |
| | Potential Flood Insurance Cost Reduction (Commercial) | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | N | Y | - | Y | Y | Y | Y | Y | | |
| | Potential Adverse Flooding Impact on Other Property | N | N | N | N | Y | N | N | Y | Y | N | N | N | Y | N | N | Y | Y | Y | | |
| | Reduction in Admin Costs of NFIP | N | N | N | N | Y | Y | Y | N | N | N | N | N | 6 | - | 3 | 7 | 7 | 7 | | |
| | Reduction in Costs of Disaster Relief | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | | |
| | Reduction in Emergency Costs | N | N | N | N | N | Y | Y | N | N | N | N | N | N | 3 | Y | Y | Y | Y | | |
| | Reduction in Damage to Public Infrastructure | N | N | N | N | N | Y | Y | N | N | N | N | N | N | 3 | Y | Y | Y | Y | | |
| | Potential for Catastrophic Damages if Design Elevation Exceeded | N | N | N | N | N | N | N | Y | Y | Y | N | N | N | N | N | N | Y | N | | |
| | Promotes Flood Plain Development | N | N | N | N | N | N | N | N | N | N | N | N | N | 8 | N | Y | Y | Y | | |
| | Environmental | | | | | | | | | | | | | | | | | | | | |
| | Ecosystem Restoration Possible | N | N | N | N | N | Y | Y | N | N | N | N | N | N | N | N | N | N | N | | |
| | Potential Adverse Environmental Impact | N | N | N | N | N | N | N | N | N | N | N | N | N | N | N | Y | Y | Y | | |
| | Recreation | | | | | | | | | | | | | | | | | | | | |
| | Recreation Potential | N | N | N | N | N | Y | Y | N | N | N | N | N | N | N | 3 | N | N | Y | N | |
| | Social | | | | | | | | | | | | | | | | | | | | |
| | Community Remains Intact | Y | Y | Y | Y | Y | N | N | Y | Y | Y | Y | Y | Y | Y | 4 | Y | Y | Y | Y | |
| Population Protected | N | N | N | N | Y | Y | N | N | N | N | N | N | N | 3 | Y | Y | Y | Y | Y | | |
| Potential Structure Marketability Increase | Y | Y | Y | Y | Y | N | Y | Y | Y | Y | Y | N | 5 | N | Y | Y | Y | Y | Y | | |

325
326 ¹ NFIP Flood Mitigation may vary but it is usually
327 buyout/acquisition

328 ² Not generally recommended

329 ³ Buyout/acquisition only

330 ⁴ Elevation only

331 ⁵ Post Flood Insurance Rate Map construction only

332 ⁶ Post FIRM structures elevation on fill

333 ⁷ Yes, if project provides 100 year or greater

334 protection

335 ⁸ Yes, if in floodplains less frequent than the 100-year

336 Y – Yes

337 N – No

338 L – Low

339 M – Medium

340 H - High

C. Characteristics

An advantage of nonstructural measures includes the flexibility of their scale. Nonstructural measures can be implemented incrementally, on a house-by-house basis, or programmatically, across whole neighborhoods or communities. Also little time is required to implement nonstructural measures as compared with implementation of large-scale structural measures. And too the benefits of nonstructural measures are realized immediately upon implementation to each structure affected.

Nonstructural measures are affected generally to privately-owned land and can be either implemented voluntarily or mandatorily based on the position of the non-Federal sponsor. Nonstructural measures, such as buyouts and relocations, can provide opportunities for alternate uses of the vacated floodplain, such as ecosystem restoration, recreational development, or urban green space if sufficient contiguous parcels are purchased for evacuation.

All nonstructural flood proofing measures can be effective in reducing damages from floods for which the measure was designed. However, the only way to ensure complete safety from storm or flood risk is either through buyout and demolition of structures or relocating structures to a site outside the floodplain.

D. Contribution to Systems Approach – Redundancy and Resiliency

Redundancy of risk reduction measures is a critical aspect of a hurricane risk reduction system. Nonstructural measures can function in combination with other risk reducing structural or ecosystem restoration measures to provide multiple lines of defense for the region. While structural components of the system are intended to provide a reduction in damages from storm surges, a complementary system of nonstructural measures can facilitate post-storm recovery in the event that the structural components are exceeded. Nonstructural measures reduce the adverse consequences when storm flooding does occur. As a redundant feature, nonstructural measures contribute to management of the risk of interior flooding, whether from rainfall or from hurricane surges exceeding the channel capacity, levees and floodwalls. An added benefit of this redundant system is found in the timing of implementation. Because nonstructural measures can typically be implemented in less time, they would reduce flood risk prior to completion of structural measures. Upon completion of the structural measures, the combined measures would provide redundancy to the risk reduction system

Nonstructural measures also contribute to the resiliency of the communities in the region. Through a program of nonstructural activities, homes and businesses would be flood proofed, relocated or elevated and critical facilities would be designed and constructed with hardened features. Through these measures the region would improve its ability to recover from storm events. The integration of structural, nonstructural, and ecosystem restoration measures creates a redundant system that contributes to community resiliency.

III. NONSTRUCTURAL PLAN FORMULATION

Nonstructural measures were formulated by established planning units or watersheds that encompass the LACPR planning area. Scales of measures were formulated at target levels of risk reduction for the LACPR evaluation which were established at the 100-year, 400-year, and the 1000-year stages. In compliance with the planning objectives for LACPR, nonstructural measures were formulated with the primary goal of reducing risk (limiting exposure) to population and property and with a secondary goal of managing risk to critical facilities.

The physical aspects of storms are a major consideration when formulating nonstructural measures at specific sites. Certain nonstructural measures function better given defined flooding conditions or when other interests are a consideration. For example, the only nonstructural measure that is reliable under high-velocity surge conditions is buyout of property and permanent evacuation of the population at risk. Conversely, flood proofing, such as raising-in-place either on fill or piers, works well for low-velocity flooding conditions. Raising-structures-in-place is effective when an interest exists in maintaining a local tax-base and when flooding conditions and structural integrity warrant its application, so long as elevating does not put the structure at further risk in the wind field. Also relocation of structures and population into clusters at flood-free sites can address both risk reduction and community cohesion concerns. There exist situations where it is infeasible to achieve a secure level of risk reduction. In such cases, managing risk can be achieved by flood proofing assets in place such as to facilities critical to the health and safety of the resident population.

For purposes of the LACPR plan formulation, two nonstructural measures, buyouts/relocations and raising-in-place, were investigated based either on the severity of the risk or the expectation that redevelopment in the aftermath of Hurricanes Katrina and Rita would allow for building construction modifications, such as raising the flood threshold of buildings to targeted levels of risk reduction.

If a building is subject to flooding depths greater than three feet, elevating or relocating the structure are the most effective measures of flood proofing. Dry flood proofing is not appropriate because water depths greater than three feet may cause a hydrostatic force large enough to render structural damage or cause walls to collapse unless the building has been designed to accommodate such forces. Flood proofing with berms and floodwalls for depths less than three feet can be undertaken, but it may require devices to control seepage under the berm or floodwall.

A. Objectives for Nonstructural Plan Formulation

The primary objective of the LACPR effort is to reduce overall risk to population and economic assets from tropical events along the Louisiana coast while trying to preserve or restore the wetlands. Generally risk can be described as the product of exposure, defined as vulnerable people or assets, and the probability of occurrence of a threat resulting in undesirable consequences to people and assets at risk. Protective measures

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can be formulated to reduce risk from tropical events in two ways, either by reducing the probability of the adverse consequences of the occurrence or by reducing the exposure to the occurrence thereby reducing the consequences themselves. Structural measures are formulated to reduce risk by increasing protection with physical structures such as barriers and levees that are designed to withstand the onslaught of a tropical event. Nonstructural measures are formulated to reduce the exposure to the threat by removing vulnerable people and assets from the threat. This approach to nonstructural plan formulation is applied to the formulation and evaluation of measures for the LACPR effort.

As stated, the primary objective of nonstructural plan formulation for LACPR is to reduce risk to population and assets in combination with wetland restoration. Secondary goals of the nonstructural analysis are to manage risk to critical facilities and, also, to manage residual risk to population and assets following some Federal action.

Additional objectives of the nonstructural demonstration projects are as follows:

1. Enhancing the resiliency of the community by providing redundant features that address very rare events;
2. Demonstrate to governments, agencies, and residents of South Louisiana that nonstructural measures can be implemented by the USACE to reduce risk associated with hurricane storm surge and flooding; and
3. Demonstrate that non-Federal sponsors exist who support implementation of nonstructural measures.

In order to truly maximize opportunities to reduce storm surge and flood risk across South Louisiana from hurricanes, it is imperative that all “tools,” structural, nonstructural, and coastal restoration, be implemented where appropriate based on cost effectiveness and risk reduction potential.

B. Planning and Evaluation Assumptions

Some basic assumptions are necessary to complete the plan formulation and evaluation of nonstructural measures. These assumptions apply mostly to the overall effort, but bear repeating for this exercise. These assumptions are as follows:

1. The Fourth Emergency Supplemental work to the metropolitan New Orleans levee system is assumed to be complete and to provide uniform risk reduction from the 100-year event. This defines the near-term without project base condition for LACPR.
2. This effort assumes that all new development, during the reconstruction post-2005 hurricanes, conforms to base floor elevations established for compliance with the National Flood Insurance Program (NFIP). Economic damages projected over the project life from future development will reflect NFIP compliance.

3. For the purpose of this initial effort, the assumption is that all property owners will participate in the nonstructural measure proposed and the commensurate level of risk reduction will be realized. For consistency, relocation assistance is included as a cost component of nonstructural buyout measures.
4. The economic analysis is based on second quarter 2005 and 2050 conditions which were projected to the census block level from population growth estimates and redevelopment assumptions that were applied to the entire planning area. The housing inventory is assumed to mirror the resident population with no allowances for vacant and abandoned structures. The reader is referred to the *Economics Appendix* for a full description of the referenced method and the development of the structure inventory.
5. The evaluation period is 2010 to 2075 as explained in the *Economics Appendix*. The period of analysis is 50 years and is consistent for all plans considered. The first year of the period of analysis is 2025, which constitutes the first year in which full benefits are expected to be realized from nonstructural measure implementation. Nonstructural measures are expected to be implemented uniformly over a 15-year period, from 2010 to 2025.
6. The fiscal year 2007 discount rate of 0.04875 applies to the LACPR evaluation.

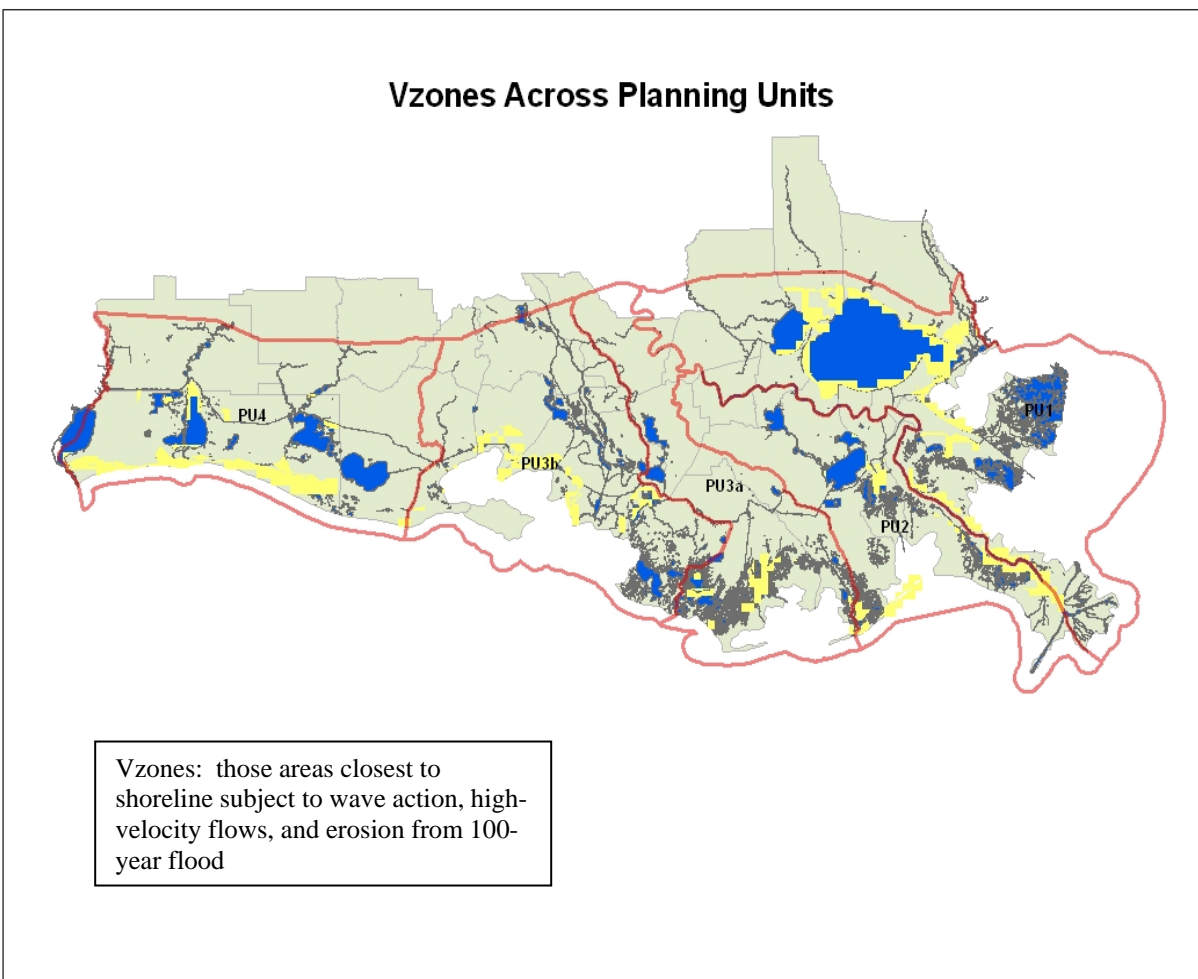
C. Applied Concepts

In order to evaluate risk with regard to storm analysis, the concept of risk must be defined in a practical way so that metrics can be applied and plans be formulated in response to risk reduction. For the purposes of the nonstructural analysis, indicators of high risk from tropical events are defined as storm surge velocity and depth of flood inundation.

1. Storm Surge Velocity

Areas exposed to storm surge velocity, where the storm surge moves with great force, are defined by FEMA as those areas closest to shoreline subject to wave action, high-velocity flows, and erosion from a 100-year (1 percent annual chance) flood. The speed at which floodwaters move, i.e., velocity, is normally expressed in terms of feet per second (fps). As floodwater velocity increases, hydrodynamic forces are added to the hydrostatic forces from the depth of still water, significantly increasing the possibility of structure failure. Greater velocities can quickly erode or scour the soil surrounding structures. These fast-moving waters can also result in failure by erosion, and their impact may move a structure from its foundation. When floodwater velocities exceed three fps and three feet of depth, it becomes difficult, if not impossible, for adults to maintain their balance while walking through a flooded area. For the purposes of this analysis, structures located in areas designated by FEMA as possessing high velocity flow characteristics with storm surge, Vzones, are subject to buyout and relocation assistance. **Figure 1** shows the location of velocity zones within the LACPR planning area.

519 **Figure 1. Location of Velocity Zones within the LACPR Planning Area**



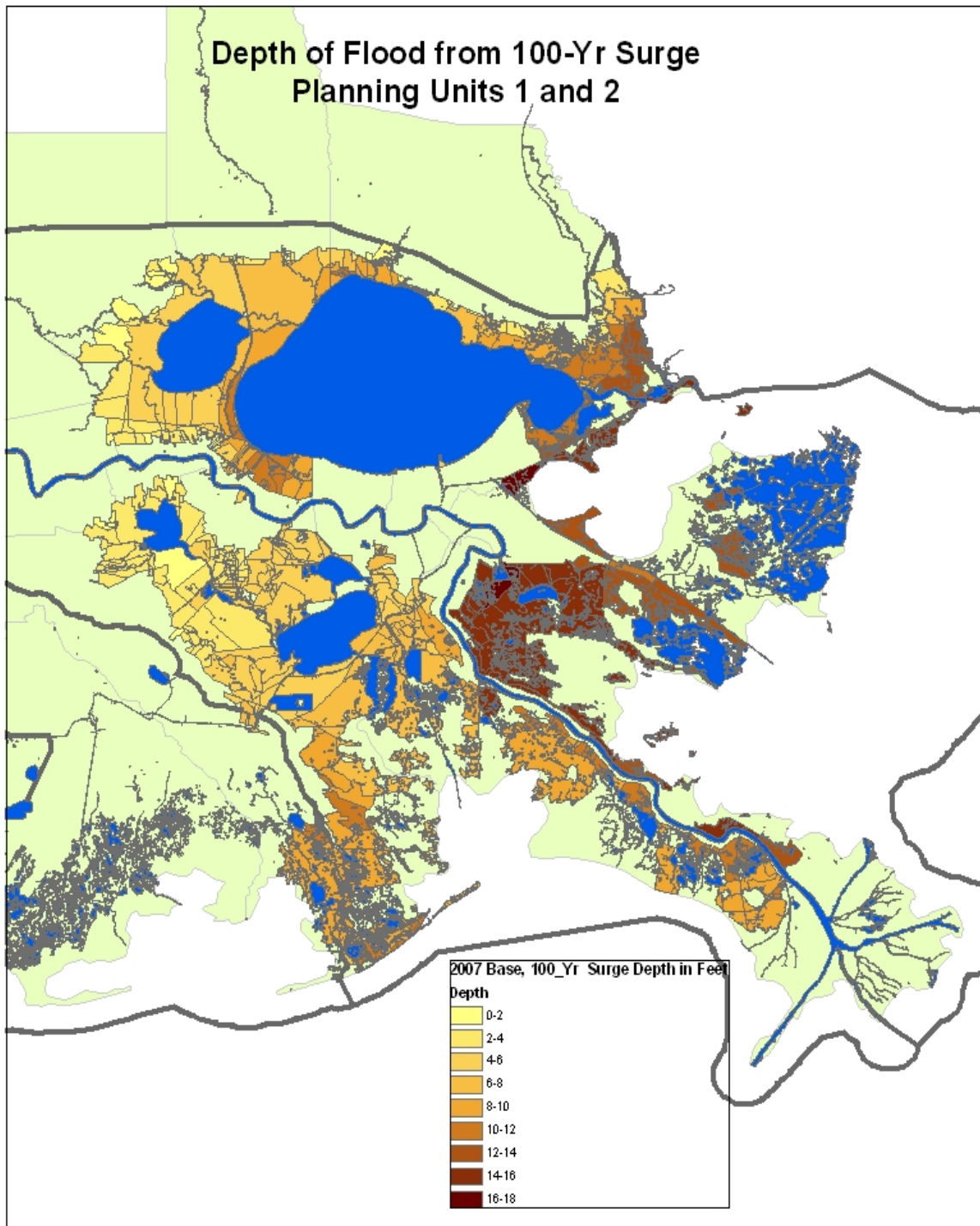
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521 **2. Depth of Flood Inundation**

522 Areas of high risk to people and assets are also those areas where flood depths are high.
523 The concept of risk was further defined with the determination of flood depths for the
524 100-year, the 400-year, and the 1000-year events. **Figure 2** shows the depth of flood
525 inundation across Planning Units 1 and 2 of the LACPR planning area for a 100-year
526 event. Flood stages were developed by the New Orleans District following established
527 engineering principles and models, which are described in detail in the *Hydraulics and*
528 *Hydrology Appendix*.

529

530 **Figure 2. Depth of Flooding in Planning Units 1 and 2 for 100-year Event**



531

D. Applying Decision Criteria to Plan Formulation

The formulation of nonstructural measures was based on the following decision criteria:

1. ***Storm surge velocity:*** Areas of high surge velocity: Areas noted as “high-velocity” Vzones by FEMA were investigated for population and property with the intent of reducing or eliminating exposure using buyout and permanent relocation. Velocity zones (Vzones) were spatially associated with census blocks to identify areas of high risk. Census blocks were identified and combined for processing using ESRI ArcMap software and the New Orleans District’s economic spatial database. Outputs of the processing were an estimate of number of structures and the population flooded by various events as well as an estimate of damages to economic assets from those flood events. These areas were targeted for relocation/permanent evacuation based on the established decision criteria. Therefore, benefits and costs were developed for relocations to the 2010 structure inventory for the designated census blocks falling within FEMA’s Vzones. A major assumption of the economic analysis is that property development will return over time to at least pre-Katrina levels by the year 2075 including those properties within the Vzones. Buyouts of these areas would eliminate the risk to people and assets. In order to accomplish this, the cost of buying vacant lots projected to be developed over time was added to the cost of buying improved property as of 2010. Buyout of velocity zones is a nonstructural measure that was combined with all other nonstructural measures as a separate component.
2. ***Depth of inundation: areas of deep flooding.*** Depth of inundation was applied as another indicator of risk. Areas of flood inundation were investigated for nonstructural measures such as raising-in-place for depths of inundation less than 14 feet. Where inundation depths are 14 feet or higher, buyout/permanent evacuation measures apply. FEMA publication, “Recommended Residential Construction for the Gulf Coast: Building on Strong and Safe Foundations,” FEMA 550, April 2006, offers the rationale for the raising-in-place criterion decision. This manual contains closed foundation designs for elevating homes up to 8 feet above ground level and open foundation designs for elevating homes up to 15 feet above ground level. These upper limits are a function of constructability limitations and overturning and stability issues for more elevated foundations. Each census block in the planning area was assigned a hydrologic profile based on its location within a planning subunit. Planning subunits were developed to distinguish significant differences in the hydrologic condition across the projected area of inundation. Depth of inundation was calculated by census block based on the water surface of each hydrologic event when compared against the mean ground elevation of the census block. Flood depths, i.e., depth of flooding from the ground to the top of the water, from the 100-year, the 400-year, and the 1000-year events were aggregated into practical ranges of 2 feet or less, 3–6 feet, 7–13 feet, and 14 feet and higher. Census blocks identified to be flooded 2 feet or less

were removed from further consideration based on the assumption of negligible damage based on an average 2-foot floor correction above ground. Census blocks identified as flooding 3 – 13 feet qualified for raising-in-place with the expectation that the integrity of the structures would be determined during the implementation phase of the project. Those census blocks that experienced depths of flooding of 14 feet or greater qualified for buyouts/permanent evacuation based on the decision criterion that lifting a structure above 13 feet would elevate it into an undesirable wind field and would violate the recommendations in FEMA publication 550. The nonstructural analysis used an upper limit of 14 feet for elevation because of the uncertainty of where the bottom of the lowest horizontal member of the structure frame might actually be. Using 14 feet as the upper limit was considered to be a conservative approach to the analysis but could be refined in subsequent studies.

While included in the formulation criteria, the final two elements will be considered during the implementation phase of the project. These elements require more precise information and interagency coordination than is available during the generalized plan formulation phase.

3. ***Structural integrity:*** Determination of whether structures possess the integrity to be lifted or retrofitted for nonstructural measures will be determined in the implementation phase. The issue of structural integrity is a structure-specific metric that will not be known until more detailed planning is required for specific nonstructural project implementation. The economic database with which nonstructural measures were formulated and evaluated assumes that the structures in existence in 2010 are habitable because they reflect the resident population expected at that time. No allowance is made in the database for unoccupied or vacant housing. The corollary to this database assumption is that all structures evaluated over time possess the integrity to be raised since they are inhabited. Benefits and costs for raising structures assume full integrity.
4. ***Other agency involvement:*** Implementation priority for demonstration projects will be given to areas where the potential to collaborate with other agencies is high and nonstructural measures are compatible with other Federal, State, or local initiatives such as ecosystem restoration, FEMA acquisitions, or local initiatives for preserving communities/living cultures.

E. Methodology and Data

The level of detail for this nonstructural analysis deviates from a traditional nonstructural analysis. Usually, nonstructural measures rely on information more specific to individual structures and are more responsive to the particular characteristics of the structure and the flood threat. A structure-by-structure inventory with explicit data elements would have been the preferred database for a nonstructural analysis but the breadth of the evaluation and the time allocated to the nonstructural effort precluded creation of such a database. As an example, the potential size of a structure inventory covering all of Southern

Louisiana exceeds one million structures and would take several years to develop to the preferred level of detail. Rather, the nonstructural plan formulation is based on the New Orleans District database developed for the structural plan evaluation. The level of detail within the current economic database is commensurate with the conceptual level of nonstructural plan formulation deemed appropriate for the LACPR evaluation.

The LACPR structure database has its foundation based on the year 2000 U.S. Census data with structure characteristics, such as number, type, value, and elevation estimated at the block level. Census blocks are roughly equivalent to city blocks. For example, there are in excess of 17,000 census blocks in Planning Unit 1 alone and over 64,000 census blocks covering the entire planning area. While the LACPR structure database lacks the level of specificity generally desired for nonstructural measure formulation, it is considered appropriate for purposes of this evaluation for identifying target areas for further in-depth analysis.

The demonstration projects are formulated and evaluated based on the traditional approach of a structure-by-structure inventory with explicit detail collected for each structure. The critical facilities information is derived from a spatially-referenced database which identifies the type and location of facility from Federal Emergency Management Agency's (FEMA's) Hazards U.S. Multi-Hazard (HAZUS-MH) database.

The format employed for the data analysis is compatible with the industry standard, ESRI ArcGIS, and data consisted of spatially referenced census block information, hydrology, and FEMA flood maps. A customized GIS spatial database similar to the one used by the Interagency Performance Evaluation Team (IPET) for the Hurricane Katrina IPET Report was used to accumulate data and assess damages to residential and non-residential structures, their contents, and vehicles in the LACPR planning area. The database was used to develop a water elevation, or stage-damage, relationship for each census block in the LACPR planning area. Inputs to the database include elevation data, depreciated exposure values of residential and nonresidential structures, and depth-damage relationships. Hydrologic data were combined with depth-damage functions to estimate damages from various storm events.

Outputs from processing the database included damages to economic assets from various probabilistic storm events and the projected population and number of structures flooded by each event. A detailed description of the database and its attributes can be found in the *Economics Appendix*.

IV. Nonstructural Measures Identified for Evaluation

A. Stand Alone Measures

Using the decision criteria previously described, planning units were evaluated for depth of inundation based on base condition hydrology. Stand alone nonstructural plans were formulated with the following measures for all Planning Units.

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1. Buyout of delineated FEMA velocity zones across each entire Planning Unit.
2. Buyout of all structures within census blocks not in velocity zones which demonstrate a depth of inundation from the ground of 14 feet or greater across each entire Planning Unit.
3. Raise-in-place for all structures in census blocks which demonstrate a depth of inundation between 3 and 13 feet from the ground across each entire Planning Unit.

Stand alone nonstructural plans with these combined measures were formulated for 3 levels of risk reduction from 100-year, the 400-year, and the 1000-year events. By applying this method a uniform level of risk reduction is achieved across the entire Planning Unit at 3 levels of risk reduction.

Table 2 demonstrates the distribution of structures evaluated for nonstructural measures based on the criteria described.

Table 2. Distribution of Structures Impacted by Stand Alone Measures

Distribution of Structures Impacted by Stand Alone Nonstructural Measure by Level of Risk Reduction (LORR), Planning Unit, and Growth/Development Scenario

| | 100yr LORR | 400yr LORR | 1000yr LORR |
|----------------------------------|------------|------------|-------------|
| Planning Unit 1 | | | |
| Compact_Business as Usual | | | |
| Total Structures Impacted | 45,731 | 164,666 | 203,649 |
| % Buyout | 13% | 6% | 13% |
| % Raising-in-Place | 87% | 94% | 87% |
| Dispersed_High Employment | | | |
| Total Structures Impacted | 74,558 | 233,063 | 288,307 |
| % Buyout | 15% | 15% | 17% |
| % Raising-in-Place | 85% | 85% | 83% |

| | | | |
|----------------------------------|--------|---------|---------|
| Planning Unit 2 | | | |
| Compact Business as Usual | | | |
| Total Structures Impacted | 21,818 | 128,153 | 131,735 |
| % Buyout | 20% | 19% | 23% |
| % Raising-in-Place | 80% | 81% | 77% |
| Dispersed High Employment | | | |
| Total Structures Impacted | 36,313 | 167,921 | 173,641 |
| % Buyout | 17% | 24% | 29% |
| % Raising-in-Place | 83% | 76% | 71% |

| | | | |
|----------------------------------|-------|-------|-------|
| Planning Unit 3a | | | |
| Compact Business as Usual | | | |
| Total Structures Impacted | 44791 | 64448 | 62599 |
| % Buyout | 1% | 23% | 19% |

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| | | | |
|----------------------------------|-------|-------|-------|
| % Raising-in-Place | 99% | 77% | 81% |
| Dispersed High Employment | | | |
| Total Structures Impacted | 52685 | 68496 | 74125 |
| % Buyout | 2% | 15% | 19% |
| % Raising-in-Place | 98% | 85% | 81% |

| | | | |
|----------------------------------|-------|-------|-------|
| Planning Unit 3b | | | |
| Compact Business as Usual | | | |
| Total Structures Impacted | 16866 | 25830 | 33602 |
| % Buyout | 5% | 4% | 8% |
| % Raising-in-Place | 95% | 96% | 92% |
| Dispersed High Employment | | | |
| Total Structures Impacted | 16136 | 24488 | 31847 |
| % Buyout | 5% | 4% | 8% |
| % Raising-in-Place | 95% | 96% | 92% |

| | | | |
|----------------------------------|-------|-------|-------|
| Planning Unit 4 | | | |
| Compact Business as Usual | | | |
| Total Structures Impacted | 13837 | 19698 | 27509 |
| % Buyout | 16% | 20% | 16% |
| % Raising-in-Place | 84% | 80% | 84% |
| Dispersed High Employment | | | |
| Total Structures Impacted | 14185 | 19579 | 28978 |
| % Buyout | 16% | 16% | 15% |
| % Raising-in-Place | 84% | 84% | 85% |

| | | | |
|----------------------------------|---------|---------|---------|
| All Planning Units | | | |
| Compact Business as Usual | | | |
| Total Structures Impacted | 143,043 | 402,795 | 459,094 |
| % Buyout | 10% | 13% | 16% |
| % Raising-in-Place | 90% | 87% | 84% |
| Dispersed High Employment | | | |
| Total Structures Impacted | 193,877 | 513,547 | 596,898 |
| % Buyout | 11% | 17% | 20% |
| % Raising-in-Place | 89% | 83% | 80% |

Depending upon the planning unit, growth/development scenario, and level of risk reduction, buyouts comprise at most 29% of the structures impacted (Planning Unit 2, Dispersed High Employment, 1000-year level of risk reduction) and as low as 1 % of the structures impacted (Planning Unit 3a, Compact, Business as Usual, 100-year level of risk reduction).

Overall, of the 194,000 structures impacted by the stand alone nonstructural measure providing a 100-year level of risk reduction across all the planning units, 11% (21,300) are buyouts; of the 514,000 structures impacted by nonstructural measure providing a 400-year level of risk reduction, 17% (89,000) are buyouts, and of the 597,000 structures impacted by the 1000-year stand alone nonstructural measure, 20% (120,000) are

buyouts. Therefore, raising-in-place is the major contributor to risk reduction for nonstructural measures with the greatest potential for protecting economic assets. Raising structures in place would also provide redundancy to the risk reduction system and would support efforts to create communities resilient to catastrophic events.

B. Combination Measures Developed in the Residual Floodplains of Structural Measures

Nonstructural measures were also formulated in the residual floodplain of each structural measure to conform to the level of risk reduction provided by the structural measure. Decision criteria were applied in the same way as with the stand alone measure formulation. As a result the nonstructural measures formulated in the residual floodplain of the structural measures share the same components of buyout of structures in velocity zones, buyout of structures in census blocks that demonstrate deep flooding of 14 feet or greater, and raising-in-place of structures in census blocks that demonstrated flooding between 3 and 13 feet. The magnitude and distribution of nonstructural measures based on depth of flooding changes with the structural measure considered but generally conforms to those areas lying outside or seaward of the structural alignments. Once again, by applying this method, a uniform level of risk reduction is afforded to the entire planning unit whether structurally or nonstructurally.

C. Site Specific Measures

Levee segments that could be considered increments to the overall levee system were identified for the formulation of competing nonstructural measures for a cost effectiveness analysis. Nonstructural measures for specific sites conformed to the decision criterion of depth of inundation previously described and were formulated to the corresponding level of risk reduction provided by the levee segment. Nonstructural measures were formulated for the following sites:

Planning Unit 1

1. Slidell Ring Levee
2. Northshore Levee
3. LaPlace Levee
4. Oakville Levee
5. Plaquemines Levee

Planning Unit 2

1. Lafitte Levee
2. Golden Meadow Levee
3. Des Allemands Levee
4. Plaquemines Levee

D. Redundant Measures

Redundant measures are those that would be included in a plan to provide backup risk reduction in the event that a structural component is exceeded by storm surge or failed in some way. A single layer of hurricane risk reduction typically relies on project scale, for example the size of a levee, to protect an area and does not necessarily incorporate redundancy or system backup. The single-layer approach implies that the structural measures are fail-safe. However, fail-safe protection cannot be achieved through structural measures alone. Residual risk will always remain. To avoid catastrophic consequences, the most vital economic and urban areas could receive fail-safe protection through a redundant system of nonstructural and structural measures.

A conceptual nonstructural measure that addresses redundancy within the metropolitan New Orleans levee system was developed. The metropolitan New Orleans area was chosen for a demonstration of a redundant plan because a levee system is in place; therefore, the nonstructural measures would contribute the redundant component. The Redundant System Nonstructural Plan is independent of depth of inundation but is based on the mean ground elevation of census blocks. The plan would elevate all structures with first floor elevations below +1 foot mean sea level to +1 foot (msl) inside the metropolitan levee system. The Redundant System Nonstructural Plan was developed with the assumption that a levee breach would occur with little resulting velocity after the initial break and that all pumps would fail. No specific levee failure scenario was applied to the plan development, but rather a uniform application of the nonstructural plan formulation decision and cost criteria with regard to raising-in-place were applied. While it is acknowledged that nonresidential structures would more likely be flood proofed rather than elevated, the strategy applied to this analysis allows for a gross estimate of the magnitude of investment required for implementation of such a plan given that only 4 percent of all structures are assumed to be nonresidential in the database. Actual implementation would require more detailed information than what was available for the LACPR effort. However, this plan demonstrates conceptually the potential magnitude and cost for achieving a fail-safe level of flood protection for the Metropolitan New Orleans area.

E. Measures to Protect Critical Facilities

One way to create resiliency within the southern Louisiana communities is to protect those public and private facilities that are critical to the health and safety of the resident population. These facilities are defined as hospitals, police and fire protection facilities, water treatment and wastewater treatment plants, public administration buildings, and schools that provide a base for emergency response and a post-storm foothold for recovery.

Critical facilities have been identified within the spatial extent of the LACPR planning area. Critical facilities are defined following the guidance and definitions contained in

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Executive Order (EO) 11988, issued on 24 May 1977. The Executive Order is the guidance for flood risk management for all Federal activities within floodplains. EO 11988 is further implemented through guidance within the Federal Register dated 10 February 1978. Critical facilities are covered under what is named as “critical actions.” The definition of a critical action is “any action for which even a slight chance of flooding would be too great.” The interpretation of this term includes the following facilities: hospitals, water treatment plants, police and fire stations, city halls, emergency operations centers, and schools that could serve as centers to accommodate people evacuated from flooded areas. A total of 1,551 facilities have been identified within the LACPR planning area as meeting the critical action definition by using FEMA’s HAZUS-MH database. These facilities are distributed into the following categories:

:

- Hospitals - 72
- Police Stations - 234
- Fire Stations – 223
- City Halls - 40
- Emergency Operations Centers - 10
- Schools that could serve as evacuation centers - 960
- Water treatment facilities – 12

The desired base flood elevation for these facilities as stated in Executive Order 11988 is outside the 500-year floodplain or protected to the 500-year stage. All nonstructural measures were considered to protect these facilities. Many critical facilities in southern Louisiana are subject to high velocity storm surge or deep inundation, indicators of a high degree of risk. However, in order to best serve their surrounding communities, it is important that these facilities remain at their present locations. For the purposes of this evaluation, however, all structures within velocity zones are subject to buyout and/or relocation at a higher elevation. This is consistent with the decision criteria for nonstructural plan formulation.

Nonstructural measures formulation is site- and structure-specific to the individual facility being protected. Structure-specific information for every critical facility is required for the accurate formulation of appropriate nonstructural measures. These data include foundation type, use and type of building, exterior finish, size and height, condition, and other building characteristics. Time limitations and the magnitude of the evaluation precluded the collection of explicit structure information for LACPR.

Decision criteria based on depth of inundation and surge velocity was used in the formulation of nonstructural measures for critical facilities. Protection of critical facilities that are publicly owned such as public schools, colleges, city halls, police and fire stations, and emergency services facilities can be addressed through either standard relocation contracts of the Engineer Federal Acquisition Regulation Supplement to demolish and rebuild or can be flood proofed by the use of veneer walls or ring walls. Veneer wall flood proofing was assigned to facilities with depths of inundation ranging from 0-3 feet with ring walls assigned to facilities with depths of 3-6 feet. Any critical facility that is located within a FEMA designated high velocity, “Vzone,” or extreme

high hazard area, however, was subject to buyout and/or relocation. For structures that had water depths greater than 6 feet, buyout and/or relocation at a higher elevation was selected as the most likely alternative nonstructural measure. Critical facilities that are privately owned can be acquired similarly to other commercial or residential properties through the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970.

Implementation of measures to protect critical facilities would require coordination with FEMA's Public Assistance and Hazard Mitigation Grant Program and Public Assistance Program to avoid duplication of effort.

F. Demonstration Projects

Nonstructural demonstration projects are of particular interest for LACPR because they can provide almost immediate risk reduction to a small area in a manner that is consistent with local interests. Demonstration of nonstructural measures offers the opportunity for USACE to work with State and local interests to achieve risk reduction in the near-term while large structural measures are constructed over a long period. Demonstration projects are intended to identify opportunities for and challenges of collaboration across the full spectrum of government entities.

The parameters for locating demonstration projects were as follows:

1. Identify locations that span across all of the South Louisiana planning area;
2. Identify locations that allow the use of nonstructural measures that are generally applicable to reducing risk across South Louisiana;
3. Identify locations that span the cultural, social, and economic range of South Louisiana;
4. Identify locations that have local governments that are strongly supportive of implementing nonstructural measures for risk reduction;
5. Concentrate the demonstration projects into those areas that sustained substantial damage and human suffering from the hurricanes of 2005;
6. Identify locations where USACE authorization complements nonstructural programs already underway or are potentially underway by other agencies such as FEMA and the Louisiana Recovery Authority (LRA); and
7. Identify locations where demonstration projects may be used as a catalyst for future implementation of nonstructural measures as part of the implementation of the LACPR Recommended Plan.

1. Coordination

Coordination of the demonstration project effort occurred at multiple levels within USACE and across other agencies. The New Orleans District, LACPR management, and USACE Headquarters were included in the USACE coordination. The Louisiana Recovery Authority, the Louisiana Governor's Office of Homeland Security and

Emergency Preparedness, and the Louisiana Office of Community Development were primary coordinators at the State level. Local governments at the locations selected for the demonstration projects were also involved. These locations are described below.

2. Applicable Nonstructural Measures

The demonstration projects included an assessment of all nonstructural measures applicable to the particular risk characteristics of the locale. The measures applied conformed to the interests of the local community and serve to support the needs for community resiliency and economic recovery.

3. Demonstration Project Areas Identified

City of New Orleans, Planning Unit 1. The demonstration projects within the City of New Orleans are located within or immediately adjacent to target recovery areas designated by the city. The demonstration projects were developed in collaboration with the Office of Recovery Management, a division of the Mayor's office at the City of New Orleans. The Office of Recovery Management has developed a recovery plan that is based upon the Unified New Orleans Plan, which has been approved by the Louisiana Recovery Authority. A major component of the city's recovery plan is to focus public funding on redevelopment at the neighborhood level in a recognizable and sustainable pattern. A total of 17 target areas have been designated throughout the city. The target areas fall into three categories – rebuild areas that experienced severe impacts and are not recovering in terms of returning population; redevelop areas that were in need of redevelopment even before the storms and flooding; and renew areas where modest public investment can result in leveraging private and non-profit investment. The United New Orleans Plan, an exhaustive public planning process conducted during 2006, strongly endorsed the concept of a neighborhood stabilization program, or “clustering.” The goal of “clustering” is to concentrate population in areas of lower risk while removing people from areas of higher risk; this concept has widespread public support. Working closely with the city, demonstration nonstructural projects were identified on the basis of the following criteria:

- Projects located in Target Recovery Areas identified by the Office of Recovery Management;
- Projects located in areas with a high or medium risk of flooding to maximize the benefit of investing in nonstructural measures;
- Projects located in areas with a high incidence of blighted properties to facilitate the creation of clustered communities and to keep neighborhoods intact; and
- Projects that exhibit a wide variety of nonstructural options.

Residential redevelopment areas to accomplish “clustering” are part of the demonstration projects. These vary from where the USACE and the City will identify areas for clustering that have existing infrastructure that may require purchase and clearing of blighted areas to areas that are currently somewhat open space, where infrastructure to support residential development will be placed as part of the demonstration project. Where areas will be evacuated of residential structures, the city would like the option of converting the vacated land to a use that is compatible with their associated risk (commercial or light industrial) rather than having to return the property to perpetual

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green space. Other demonstration projects involve the elevation of existing residential structures within or adjacent to target recovery areas. The decision criteria for nonstructural measures previously discussed will be used.

In addition to the residential component, the demonstration program in New Orleans includes various measures to protect facilities, which are essential for improving resiliency during and after rare storm events. These measures include hospitals, a school, several groceries, and a pharmacy located in or near several target recovery areas.

City leadership views implementation of nonstructural measures as a high priority even with an enhanced Federal levee system and coastal restoration. The city realizes the mistakes of the past that allowed “slab on grade” construction to occur throughout the city, even in areas below sea level. The city firmly believes in the concept of “redundancy in flood risk reduction” especially in light of subsidence and a rising sea level.

A variety of nonstructural measures located in or near six target recovery areas have been identified. They are as follows:

1. Lower Ninth Ward—Buyout 150 residences in the low-lying high risk area. For an existing urbanized area with limited land available for development, the City desires the flexibility to redevelop the evacuated area in a manner appropriate to the risk and in conformance with target levels of risk reduction.
2. New Orleans East Plaza—Elevation of 25 existing slab on grade residential structures. In addition, the demonstration program envisions the elevation in place of an existing public school facility, dry flood proofing of a commercial facility (e.g., a pharmacy), and hardening of two critical facilities (e.g., hospitals).
3. I-10 at Carrollton Avenue—Elevation of 40 existing residential structures.
4. Broad Street at Lafitte—Secondary levees or floodwalls to protect a large commercial facility (e.g., a supermarket).
5. South Claiborne at Toledano—Hardening of a critical facility (e.g., a hospital).
6. St. Bernard at North Claiborne—Dry flood proofing to protect a mid-size commercial facility (e.g., a grocery store).

St Bernard Parish, Planning Unit 1. The demonstration projects in St Bernard Parish are located just to the east of Orleans Parish and north of Judge Perez Road. Two projects have been identified with approximately 100 homes in each project. These projects consist of relocation and/or buyout with removal of the structure and conversion of the evacuated floodplain into new uses compatible with the risk associated with the locale.

Delcambre, Planning Unit 3b. Delcambre is located in South Central Louisiana. The recommended demonstration project is located along Carlin Bayou, which directly connects with Vermilion Bay and the Gulf of Mexico. Delcambre has long had an important role in regional hurricane risk reduction as Carlin Bayou has been used over

the years to temporarily harbor boats for risk reduction from hurricane induced storm surge.

Two basic demonstration projects exist at Delcambre. They are relocation/buyout of existing residential and some commercial structures and flood proofing of existing critical facilities such as schools, water treatment facilities, police and fire stations, and city halls, as well as some commercial structures in the downtown areas considered critical to the community such as grocery stores and pharmacies. Approximately 128 structures will be evaluated for relocation or buyout, and approximately 35 will be evaluated for elevation-in-place, flood proofing, or low berms and walls. In Delcambre, the location of relocation/buyout of structures is in a very low area. The city is interested in converting the evacuated floodplain to activities that are appropriate for the risk levels and that take advantage of water connections to Carlin Bayou in order to facilitate access for water related recreation and for storage of boats during hurricanes.

Calcasieu Parish, Planning Unit 4. The project location is north of the City of Lake Charles, Louisiana. It is located in Calcasieu Parish along the right bank of the West Fork, Calcasieu River. The area is not only subject to hurricane induced storm surge flooding, but also to riverine flooding. The area has 78 residential structures with varied type of foundation construction ranging from slab on-grade to elevated pier and beam. The area contains structures that meet criteria for classification as repetitive loss structures under the National Flood Insurance Program, meaning that they have filed two or more claims greater than \$1,000 within a ten year period. Several of the structures have also received funding for mitigation to reduce flood risk through FEMA's hazard grant mitigation program. Approximately 30 to 40 residential structures will be considered under the LACPR demonstration program. The homes will be categorized according to depth of flooding. They will be elevated in place if indicated flood depths are less than 15 feet. Any structures subject to greater flood depths than 14 feet will be recommended for relocation and/or buyout as discussed previously.

V. Evaluation Metrics

Evaluation of nonstructural measures will include the following metrics: residual damages, reduction in number of people exposed to the threat, regional economic impacts, and cost effectiveness. Because no NED analysis is required for the LACPR evaluation, no net excess benefit calculations will be made.

A. Residual Damages

Base "without project" damages will be calculated using the New Orleans District's economic spatial database as will all "with project" damages for stand alone plans, for combined structural, coastal and nonstructural plans and for site-specific plans. For the nonstructural component of the combined plans, damages reduced are the result of subtracting the damages expected with the structural and coastal plans from the

combination plans. Both “with” and “without project” conditions are described in terms of future scenarios for development and land use as well as relative sea level rise. Comparisons will be based on similar levels and scenarios with the difference comprising economic damages prevented.

B. Population Protected

A similar method as applied to calculating damages reduced was employed to calculate the population flooded for the various “with” and “without project” conditions. Differences in results will be the population protected from measures evaluated. However, the method for discerning population protected assumes that flooding is removed from the census blocks protected. Assets protected by some nonstructural measures, such as raising-in-place, may require that the resident population evacuate their homes during the storm threat, but will return to homes protected to a defined level of risk reduction. In this instance, nonstructural measures do not protect the population from inundation, only assets are protected.

C. Regional Economic Impacts

Regional economic impacts were derived by eliminating flooding to census blocks that contained commercial and industrial structures. Protecting commercial and industrial structures from flood inundation was the only defined measure of regional economic impacts. No assessment was made of the potential impact of buyouts and relocations of businesses to the regional economy from implementation of nonstructural measures. Buyouts could depress the local economies of some areas and stimulate the local economies of others. How the region would be affected by massive buyouts and relocations of populations has yet to be investigated.

D. Project Cost

1. Costing Stand Alone, Complementary, Site Specific and Redundant Nonstructural Measures

Costs were generically applied to stand alone, complementary, site specific, and redundant nonstructural measures. Cost information was developed at a level commensurate with the level of detail of other information employed for evaluation purposes. Costs for buyout and permanent relocation of property were developed by the New Orleans District Real Estate Office. Representative property values were developed at the parish level and applied to the estimated number of properties required for buyout for nonstructural measures. Unit values for relocation assistance and acquisition costs were applied on a per structure basis to comprise the real estate cost for purchasing property for risk reduction. Nonresidential structures comprise only 4% of the total

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structures assumed for the base condition under both land use/development scenarios in the near-term and the future conditions and were, therefore, analyzed as residential with no distinction for nonresidential type. **Table 3** below displays the costs applied to Planning Units 1 and 2.

Table 3. Cost for Evacuation/Buyout per Structure by Parish

| PARISH | Unit Value (Land and Improvement) | Residential Value | Relocation Assistance | Acquisition Costs | Total Real Estate Cost |
|----------------------|--------------------------------------|-------------------|-----------------------|-------------------|------------------------|
| Ascension | \$150,000 | \$150,000 | \$100,000 | \$20,000 | \$270,000 |
| Jefferson | \$215,000 | \$215,000 | \$100,000 | \$20,000 | \$335,000 |
| Lafourche | \$100,000 | \$100,000 | \$100,000 | \$20,000 | \$220,000 |
| Livingston | \$150,000 | \$150,000 | \$100,000 | \$20,000 | \$270,000 |
| Orleans | \$150,000 | \$150,000 | \$100,000 | \$20,000 | \$270,000 |
| Plaquemines | \$100,000 | \$100,000 | \$100,000 | \$20,000 | \$220,000 |
| St. Bernard | \$110,000 | \$110,000 | \$100,000 | \$20,000 | \$230,000 |
| St. Charles | \$230,000 | \$230,000 | \$100,000 | \$20,000 | \$350,000 |
| St. James | \$150,000 | \$150,000 | \$100,000 | \$20,000 | \$270,000 |
| St. John the Baptist | \$170,000 | \$170,000 | \$100,000 | \$20,000 | \$290,000 |
| St. Tammany | \$240,000 | \$240,000 | \$100,000 | \$20,000 | \$360,000 |
| Tangipahoa | \$115,000 | \$115,000 | \$100,000 | \$20,000 | \$235,000 |

Unit values were applied to the estimated 2010 structure inventory for two land use and redevelopment scenarios developed by the New Orleans District. In order to maintain a level of risk reduction over time within the census block targeted for buyout and relocation, an assumption was made that a number of vacant lots equal to the growth projected within the block over the period of analysis, 2025-2075, would necessarily be bought to preclude future development from occurring. These costs represent a proxy value for a perpetual restricted use easement. These costs would be incurred during the construction period. The cost of vacant lots in parishes within the planning area was also provided by the New Orleans District Real Estate Office. **Table 4** below displays the unit costs applied to Planning Units 1 and 2.

Table 4. Costs for a Standard Vacant Lot by Parish

| PARISH | Unit Value (Lot Only) | Residential Value | Relocation Assistance | Acquisition Costs | Total Real Estate Cost |
|----------------------|--------------------------|-------------------|-----------------------|-------------------|------------------------|
| Ascension | \$40,000 | \$0 | \$0 | \$20,000 | \$60,000 |
| Jefferson | \$50,000 | \$0 | \$0 | \$20,000 | \$70,000 |
| Lafourche | \$10,000 | \$0 | \$0 | \$20,000 | \$30,000 |
| Livingston | \$40,000 | \$0 | \$0 | \$20,000 | \$60,000 |
| Orleans | \$20,000 | \$0 | \$0 | \$20,000 | \$40,000 |
| Plaquemines | \$10,000 | \$0 | \$0 | \$20,000 | \$30,000 |
| St. Bernard | \$20,000 | \$0 | \$0 | \$20,000 | \$40,000 |
| St. Charles | \$60,000 | \$0 | \$0 | \$20,000 | \$80,000 |
| St. James | \$40,000 | \$0 | \$0 | \$20,000 | \$60,000 |
| St. John the Baptist | \$30,000 | \$0 | \$0 | \$20,000 | \$50,000 |
| St. Tammany | \$60,000 | \$0 | \$0 | \$20,000 | \$80,000 |
| Tangipahoa | \$25,000 | \$0 | \$0 | \$20,000 | \$45,000 |

Costs for raising structures in place were developed by the Huntington District. The Huntington District provided costs for a new elevated structure where the existing structure was either destroyed or remained in a structural condition that would not support elevation and also elevation of an existing structure. These costs were separated into two height categories with the cost of the midpoint of each category applied to the number of structures raised between three and six feet and between seven and 13 feet. Attachment 3 details the costs for raising-in-place as developed by the Huntington District. To these costs, Huntington District added unit values of \$3,000 for temporary housing/relocation assistance and \$25,000 for administration, oversight, and design.

Recovery and reconstruction are assumed to be ongoing activities throughout the project life. A basic assumption outlined in this analysis is that future growth will conform to the NFIP base flood elevation for first floor height above the 100-year flood elevation. Therefore, if a nonstructural measure proposes a level of risk reduction greater than the 100-year level, only the cost of the height increment above the 100-year was included as an economic cost of raising-in-place for future growth. Should the nonstructural measure be implemented, a requirement that future growth conform to the project's level of risk reduction, such as to the 400-year or 1000-year level, would be necessary in order to maintain the level of risk reduction throughout its 50-year life. The costs for incremental raising-in-place were derived from the cost information supplied by the Huntington District. A unit cost of \$2,500 per foot of elevation above the 100-year elevation was calculated and applied to future growth, except when the raising to target exceeded the raising threshold of 13 feet. When this occurred, growth within the census block was assumed to be bought out and the vacant lot value was applied instead.

2. Costing Nonstructural Measures for Critical Facilities

Local governments provided information on the structure type, use, and depth of flooding at the structure. Numbers of students at schools were used to determine the school size. Since the building footprint size was unavailable for critical facility structures, standard public buildings sizes of 2,500 square feet (sf) and 5,000 sf were used for police and fire stations and city halls while building sizes for schools were based upon the number of students, using current national standards of square footage per student by school type. The following assumptions were made in order to develop general cost estimates for protecting critical facilities:

Hospitals

- Building condition is good.
- Building foundation will be slab on grade.
- Building type will be brick veneer.
- Building footprint will be 40,000 square feet.
- Each building will have eight door openings at one foot above the adjacent grade.
- Each building will have 200 feet of window located 3 feet above the adjacent grade.

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- 1110 • The building is four stories.
- 1111 • The building must be usable during flood events.
- 1112
- 1113 Police Stations and City Halls
- 1114 • Building condition is good.
- 1115 • Building foundation is slab on grade.
- 1116 • Building type will be brick veneer.
- 1117 • Building foot print will be 2,500 square feet.
- 1118 • Each building will have three doors at one foot above the adjacent grade.
- 1119 • Each building will have 45 feet of window located 3 feet above the adjacent
- 1120 grade.
- 1121 • Each building will have one story.
- 1122 • Each building will could be evacuated during a flood.
- 1123
- 1124 Fire Stations
- 1125 • Use the same assumptions as police stations with the exception that three
- 1126 overhead doors of 10 feet in width will be present at one foot above the adjacent
- 1127 grade and window space will be reduced to 25 feet.
- 1128
- 1129 Emergency Operations Centers/Civil Defense
- 1130 • Use the same assumptions as police stations with the exception that this facility
- 1131 must be in operation during floods.
- 1132 • Use the same assumptions as hospitals except the building is one story.
- 1133
- 1134 Schools
- 1135 • Base cost on student enrollment and other external sources.
- 1136
- 1137 Water Treatment Facilities
- 1138 • The building condition is good.
- 1139 • Building foundation is slab on grade.
- 1140 • Building construction is masonry block.
- 1141 • Building foot print is 20,000 square feet.
- 1142 • Each building will have four door openings located one foot above the adjacent
- 1143 grade.
- 1144 • Each building will have two overhead doors located one foot above the adjacent
- 1145 grade.
- 1146 • Each building will be two stories.
- 1147 • Each building will have 50 feet of window located three feet above the adjacent
- 1148 grade.
- 1149 • Each building will be usable during floods.
- 1150
- 1151 The characteristics assumed and noted above were used for determining costs of
- 1152 implementation. These costs were calculated using cost versus depth versus type of
- 1153 nonstructural measure and were developed by Huntington District, USACE for use in the
- 1154 Mississippi Coastal Improvement Program (MsCIP).

VI. Evaluation of Nonstructural Measures

A. Stand Alone, Combination, and Site Specific Measures

Nonstructural measures were evaluated against the same metrics as the structural measures—damages prevented, population impacted, regional economic impacts, and costs. The assessment of damages prevented, population impacted and regional economic impacts to stand alone and nonstructural complements to structural measures was made by applying queries to a spatially referenced database described in the Methodology and Data section of this appendix. Outputs of these queries are reported in the *Evaluation Results Appendix*.

B. Redundant Measures

The Redundant System Nonstructural Plan entailed raising-in-place of all eligible existing and projected future structures within the New Orleans metropolitan levee system under the two land use/population growth scenarios used in the evaluation of all LACPR plans. Existing structures were assumed to be built with a two-foot floor correction above the mean ground elevation of the census block in which they are located. This is a consistent assumption made for all existing development. Structures projected for future growth were assumed to be built at the NFIP-required base flood elevation. However, for the purpose of redundancy, future development was raised to +1 foot msl and the cost to elevate between the base flood stage and +1 foot msl was added to the Redundant System Nonstructural Plan cost. The mean ground elevation for all census blocks showed no elevations within the range of eligibility for buyouts and relocations. The difference between the target +1 foot msl and all estimated first floor elevations allowed for raising-in-place as the preferred nonstructural measure.

In total a plan for elevating all structures below +1 foot msl within the metropolitan levee system to +1 foot msl would cost between \$23 and \$28 billion. This plan would impact between 160,000 to 230,000 structures and an associated population between 320,000 and 460,000 residents. The levee system and coastal features would provide risk reduction from storm surge. The Redundant System Nonstructural Plan would provide redundant security to the City's economic assets from any flooding source.

C. Measures to Protect Critical Facilities

Protecting critical facilities addresses the need for community resiliency, the ability of a community to rebound from rare and catastrophic natural events. As such, benefits calculated for the stand alone and complementary nonstructural measures were not computed for the critical facilities measures. Costs were computed based on generalized assumptions noted previously. The results of the analysis are displayed in **Tables 5**

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through 7 below. In total 600 structures would be eligible for flood proofing or buyout and/or relocation based on depth of flooding at an estimated total cost of \$3.2 billion.

Table 5. Costs for Nonstructural Measures Applied to Protect Critical Facilities, Planning Units 1 and 2

| | Veneer Wall 0-3 feet | Ring Wall 3-6 feet flood depth | Relocation greater than 6 feet |
|--------------------------|-------------------------|--------------------------------------|--------------------------------------|
| Critical Facility | | | |
| Schools | | | |
| Count | 55 | 82 | 139 |
| Average Cost | \$500,000 | \$5,600,000 | \$11,000,000 |
| Total Cost | \$27,500,000 | \$459,200,000 | \$1,529,000,000 |
| Hospitals | | | |
| Count | 1 | 7 | 5 |
| Unit Cost | \$510,000 | \$5,905,000 | \$22,717,000 |
| Total Cost | \$510,000 | \$41,335,000 | \$113,585,000 |
| Police Stations | | | |
| Count | 5 | 7 | 32 |
| Unit Cost | \$90,000 | \$1,646,000 | \$870,000 |
| Total Cost | \$450,000 | \$11,522,000 | \$27,840,000 |
| Fire Stations | | | |
| Count | 6 | 8 | 33 |
| Unit Cost | \$127,000 | \$2,025,000 | \$608,000 |
| Total Cost | \$762,000 | \$16,200,000 | \$20,064,000 |
| Civil Defense | | | |
| Count | 1 | 0 | 0 |
| Unit Cost | \$90,000 | \$1,646,000 | \$870,000 |
| Total Cost | \$90,000 | \$0 | \$0 |
| Total by Flood Depth | \$29,312,000 | \$528,257,000 | \$1,690,489,000 |
| Grand Total | \$2,248,058,000 | | |

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**Table 6. Costs for Nonstructural Measures Applied to Protect Critical Facilities,
Planning Unit 3**

| | Veneer Wall 0-3 feet | Ring Wall 3-6 feet flood depth | Relocation greater than 6 feet |
|--------------------------|-------------------------|--------------------------------------|--------------------------------------|
| Critical Facility | | | |
| Schools | | | |
| Count | 19 | 29 | 54 |
| Average Cost | \$406,000 | \$4,770,000 | \$9,430,000 |
| Total Cost | \$7,714,000 | \$138,330,000 | \$509,220,000 |
| Hospitals | | | |
| Count | 1 | 1 | 3 |
| Unit Cost | \$510,000 | \$5,905,000 | \$22,717,000 |
| Total Cost | \$510,000 | \$5,905,000 | \$68,151,000 |
| Police Stations | | | |
| Count | 3 | 7 | 10 |
| Unit Cost | \$90,000 | \$1,646,000 | \$870,000 |
| Total Cost | \$270,000 | \$11,522,000 | \$8,700,000 |
| Fire Stations | | | |
| Count | 3 | 12 | 24 |
| Unit Cost | \$127,000 | \$2,025,000 | \$608,000 |
| Total Cost | \$381,000 | \$24,300,000 | \$14,592,000 |
| Civil Defense | | | |
| Count | 0 | 1 | 0 |
| Unit Cost | \$90,000 | \$1,646,000 | \$870,000 |
| Total Cost | \$0 | \$1,646,000 | \$0 |
| Total by Flood Depth | \$8,875,000 | \$181,703,000 | \$600,663,000 |
| Grand Total | \$791,241,000 | | |

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Table 7. Costs for Nonstructural Measures Applied to Protect Critical Facilities, Planning Unit 4

| | Veneer Wall 0-3 feet | Ring Wall 3-6 feet flood depth | Relocation greater than 6 feet |
|--------------------------|-------------------------|--------------------------------------|--------------------------------------|
| Critical Facility | | | |
| Schools | | | |
| Count | 3 | 6 | 7 |
| Average Cost | \$285,000 | \$4,134,000 | \$5,674,000 |
| Total Cost | \$855,000 | \$24,804,000 | \$39,718,000 |
| Hospitals | | | |
| Count | 0 | 1 | 1 |
| Unit Cost | \$510,000 | \$5,905,000 | \$22,717,000 |
| Total Cost | \$0 | \$5,905,000 | \$22,717,000 |
| Police Stations | | | |
| Count | 3 | 4 | 7 |
| Unit Cost | \$90,000 | \$1,646,000 | \$870,000 |
| Total Cost | \$270,000 | \$6,584,000 | \$6,090,000 |
| Fire Stations | | | |
| Count | 1 | 7 | 12 |
| Unit Cost | \$127,000 | \$2,025,000 | \$608,000 |
| Total Cost | \$127,000 | \$14,175,000 | \$7,296,000 |
| Civil Defense | | | |
| Count | 0 | 0 | 1 |
| Unit Cost | \$90,000 | \$1,646,000 | \$870,000 |
| Total Cost | \$0 | \$0 | \$870,000 |
| Total by Flood Depth | \$1,252,000 | \$51,468,000 | \$76,691,000 |
| Grand Total | \$129,411,000 | | |

D. Demonstration Projects

City of New Orleans

1. Lower Ninth Ward

Buyout of 150 residential structures. Metrics for the buyout of 150 residential structures with assistance with relocation include average annual equivalent damages reduced equaling \$560,000; population protected of 300 persons, and costs approximating \$22.5 million.

2. New Orleans East Plaza

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Raise-in-place 25 residential structures. Metrics for the raising-in-place 25 residential structures to an elevation of 8 feet above grade include average annual equivalent damages reduced equaling \$1.8 million; 50 persons protected, and cost approximating \$3.7 million.

Demolish and rebuild a public school: Cost of \$21.3 million.

Dry flood proof a commercial building: Cost of \$2.1 million

Flood proof a hospital: Cost of \$4.4 million.

3. I-10 at Carrollton Ave.

Raise-in-place 40 residential structures. Metrics for the raising-in-place 40 residential structures to an elevation of 8 feet above grade include average annual equivalent damages reduced equaling \$4.9 million; 90 persons protected, and cost approximating \$5.9 million.

4. Broad St. at Lafitte Ave.

Dry flood proof a commercial structure. Cost to construct ring wall of \$3.3 million.

5. South Claiborne at Toledano Ave.

Flood proof a hospital. Cost to construct at \$4.4 million.

6. North Claiborne at St. Bernard.

Dry flood proof a commercial structure. Cost to construct impermeable veneer wall of \$140,000.

St. Bernard Parish

Metrics for buyout of 200 residential structures with relocation assistance include average annual equivalent damages reduced equaling \$8.4 million; 450 persons protected, and cost approximating \$40.3 million.

E. Benefits and Costs Captured by Other Agency Actions

A Federal interest exists in both risk reduction and disaster recovery. Following Hurricanes Katrina and Rita, the Federal government made available billions of dollars to assist with disaster recovery. *The Road Home* program, created by Louisiana Governor Blanco, the Louisiana Recovery Authority, and the Office of Community Development and funded by the U.S. Department of Housing and Urban Development, is the largest single housing recovery program in U.S. history. The program's objective is to help Louisiana residents get back into homes or apartments as quickly and fairly as possible.

These Federal investments are being made with the expectation that recovery complies with the National Flood Insurance Program's (NFIP) adjusted base flood elevations (ABFEs) and that this level of risk reduction provides a tolerable level of risk to the population. Conformance with NFIP building requirements for future growth is a basic assumption of LACPR's nonstructural measures formulation and evaluation.

However, the extent to which disaster recovery has influenced risk reduction has yet to be determined. For the purposes of the nonstructural measures analysis, any Federal contribution already made to risk reduction over and above the NFIP criteria cannot be ascertained without more detailed analysis. Some of the costs and some of the benefits for risk reduction are captured by these existing recovery programs but the extent of their influence cannot be determined until the implementation phase of the authorized Federal project.

VII. Implementation

A strategy has been developed for a programmatic authorization for nonstructural measures implementation throughout southern Louisiana. The rationale and strategy for the program is described in Attachment 1.

VIII. Findings and Conclusions

Performance metrics for the nonstructural measures are found in the *Evaluation Results Appendix*.

Nonstructural measures were formulated with the primary intent of reducing risk to the population and assets of South Louisiana. The development of applicable measures was based on two primary sources of risk: storm surge velocity and inundation. Findings support that nonstructural measures perform well across all the metrics considered for the LACPR evaluation. They are efficient and effective in reducing risk from storm surge, as well as from other sources of flooding, when compared with other risk reduction measures. Nonstructural measures bear few operational and maintenance costs and have little or no environmental mitigation requirement.

These findings demonstrate the potential of the nonstructural measures; however, the evaluation assumed full participation in the program. The actual benefits and costs are dependent on local participation rates. The successful implementation of a coastwide program of nonstructural measures would require intense stakeholder and non-Federal sponsor involvement to address outstanding issues of preservation of living cultures and the social fabric of communities in addition to potential impacts to the regional economy. However, proper collaborative planning can overcome these issues.

Overall, the raising-in-place component of any nonstructural plan contributes most to risk reduction due specifically to the magnitude of the application. Of the half million structures impacted by a 400-year stand alone nonstructural measure, over 80 percent would be raised-in-place thereby preserving neighborhoods, communities, and the local economy while contributing significantly to risk reduction.

Attachment 1

An Implementation Program for Flood Risk Reduction Using Nonstructural Measures

Purpose

This paper presents a rationale and potential strategy for creating a program to implement nonstructural measures in support of LACPR objectives. A United States Army Corps of Engineers (USACE) program for nonstructural risk reduction could strengthen the long term recovery of southern Louisiana. In concert with structural measures and coastal restoration, nonstructural measures could be the key component to reducing long-term risks and supporting sustainable redevelopment. Adaptive management practices are critical to insure success of the program because many of the ideas presented here, while based on precedence, have never been applied on such a large scale as the region affected by Hurricanes Katrina and Rita.

Introduction

The Louisiana Coastal Protection and Restoration (LACPR) program is based on a collaborative approach to flood risk management in southern Louisiana. The program outlines a multiple lines of defense strategy, and nonstructural measures are an integral part of that defense network. Nonstructural measures include elevated structures, residential buyouts, hardened structures, evacuation planning and flood warning systems, maintained evacuation routes, flood risk communication and education, and flood insurance programs. The nonstructural plans presented in this report include measures specifically related to protecting structures and assets – elevating, relocating, hardening, and protecting homes, businesses and critical facilities. The State Master Plan specifically addresses evacuation routes in the FY08 annual plan, and State, local and Federal emergency planners have already evaluated and updated regional evacuation plans. The Governor’s Office of Homeland Security and Emergency Preparedness (GOHSEP) has enabled the Integrated Public Alert and Warning System (IPAWS) to create a comprehensive and modern public alert and warning system. All of these efforts would be incorporated into risk communication and education programs, which are a vital component of risk management.

Background

Louisiana is a working coast. People and assets are there for many good reasons; however, the people and assets are at risk from coastal storms. Residents need to balance risk against the desirable benefits of the region. This balancing act amounts to making

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risk-benefit (or risk-cost) tradeoff decisions. Nonstructural measures are particularly dependent upon successful collaboration with the public, across programs, and across levels of government as these trade-off decisions are made. Individual property owners and local governments have responsibility for local land-use decisions and building patterns and the success of many Federal programs depends upon the fulfillment of these responsibilities.

Existing flood control programs are well-intentioned, but, if outcomes are evidence, it is apparent that a new approach is required. Over time flood damages across the nation have risen rather than declined, even after billions of dollars of investment have been made in protection and mitigation programs. An innovative and integrated program of nonstructural measures, augmenting structural structures, can further reduce potential flood damage across southern Louisiana.

Need for a Sustainable Recovery

While recovery is the immediate goal, attention should be paid to opportunities to meet long-term goals for resilient, sustainable communities. It is true that floodwalls, levees and pumps are being improved so that the areas within existing risk reduction structures will have reduced risk levels. However, areas within the system continue to have residual risk because existing structures are authorized to the 100-year level and can be exceed by larger storms while threats from other interim flooding sources remain. In addition, areas outside these risk reduction systems remain at risk.

Nonstructural measures not only reduce risk to people and assets, they also contribute to the sustainability and resiliency of the region. Resilience is defined here as the ability to bounce back from a catastrophic storm event. Homes and businesses can be flood proofed; relocated, or elevated and critical facilities can be designed and constructed with hardened features. Critical facilities can be modified to maintain the necessary operational requirements and the structural integrity to quickly return to operations in the storm's aftermath. Critical facilities are the base of operations for health, safety, public protection, and governance operations so that services can be restored to the impacted area. These are the operations that will ensure that roads, sewers, water, power, healthcare and other essential services will be available to people and their homes, businesses, schools, and churches, in effect, the community, as quickly as possible so that residents can begin the recovery process. With these measures, the region would improve its ability to recover from these natural events in a timely manner.

Program Overview

Need for Programmatic Authority

Establishing a programmatic approach to nonstructural measures implementation would allow for a continuous process to be established and maintained. By establishing and funding at the program level rather than at the project level, efficiencies could be attained with regard to project execution. Adaptive management practices would be an

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integral part of the program as described in the creation of the general LACPR implementation plan.

Precedence

Louisiana's Road Home program and USACE's Section 202 program could be administrative models for a nonstructural measures program. The prototype for nonstructural measures implementation for southern Louisiana is based on the USACE, Huntington District's experience with implementation of Public Law 96-367, Title II, Section 202(a) of the Energy and Water Development Appropriations Act of 1981. Section 202 and subsequent legislation noted below have created a program within which nonstructural measures can be effectively implemented. Aspects of the Section 202 program are worthy of consideration for application in the State of Louisiana.

Section 202 directed the Secretary of the Army, acting through the Chief of Engineers, to design and construct, at full Federal expense, flood damage reduction measures in those areas impacted by the flood of April, 1977. Benefits exceed the cost of the flood control measures authorized. This legislation established a level of protection commensurate with a historic event; introduced full Federal expense, and forgave the requirement for justification based on a benefit-cost analysis.

House Joint Resolution 492 (Public Law 98-332, 3 July 1984) directed expeditious implementation of nonstructural features "such as relocation sites, flood proofing, and floodplain acquisition and evacuation" of the Section 202 General Plan for Project Implementation, dated 28 April 1982. This legislation emphasized the application of nonstructural measures.

Section 103b of Public Law 99-662 (Water Resources Development Act ((WRDA)) of 1986) states that "the non-Federal share of the cost of nonstructural flood control measures shall be 25 percent of the cost of such measures. The non-Federal interests for any such measures shall be required to provide all lands, easements, rights-of-way, dredged material disposal areas, and relocations necessary for the project, but shall not be required to contribute any amount in cash during construction of the project." This legislation changed the non-Federal sponsor's traditional cash contribution and reduced to 25 percent the cost-share by the non-Federal sponsor.

Section 336 of Public Law 106-541, WRDA 2000, directed the Secretary (of the Army) to determine the ability to pay by the non-Federal sponsor based on the criterion specified in Section 103(m)(3)(A)(i) of WRDA 86. The non-Federal cost share was to be based on the benefits test and county per capita income, omitting the state per capita income in the formula.

Applicable nonstructural measures

Nonstructural measures considered for application in the program would include acquisition and buyout, relocations of property improvements to higher ground, raising-in-place of improvements on existing property, wet flood proofing and dry flood proofing. For the purpose of this program, actions would be affected to individual properties in the interest of reducing risk to the resident population and economic assets by removing the population from the source of storm risk or by elevating assets above the flood risk. Facilities that cannot be elevated or moved away from risk because of their critical contribution to the local community would be assessed for elevation, and dry or wet flood proofing. Nonstructural measures would be applied based on the decision criteria established for LACPR which incorporate an assessment of risk and structural integrity.

Level of risk reduction

The level of risk reduction achieved by implementation of this nonstructural measures program would be at least to the level of risk reduction recommended within the LACPR report for residential, commercial, and public structures.

Spatial scope

The area eligible for program participation is the planning area of the LACPR report.

Nonstructural projects defined

The technical report identifies nonstructural measures at the gross planning unit level. Smaller geographical boundaries would be considered during the implementation phase, and nonstructural projects would be identified according to these smaller boundaries. For example, a nonstructural project may be defined at the parish, city or neighborhood level. Project boundaries would be influenced by the nature and extent of the flood risks, the complexity of the measures, available resources, sponsor's capability and similar issues that influence project evaluation and implementation.

Nonstructural project evaluation

Nonstructural projects will be evaluated using the same, or similar, metrics that have been used in the LACPR technical report. Risk reduced and residual risk would be explicitly considered using population and damage metrics. However, additional metrics would be necessary to characterize social effects and impacts to community cohesion. Coherence with recovery planning, and local land use planning efforts, would also be considered in the evaluation. Finally, the ability to leverage other public and private investment should be included in the metrics.

Need for collaboration with other agencies, local communities

Coordination and collaboration across Federal, State, and local agencies involved in economic recovery of Louisiana is necessary to achieve risk reduction in a comprehensive and systematic manner. This may require collaboration among multiple agencies with each providing funding in order to achieve both objectives of risk reduction and disaster recovery within a comprehensive framework.

As part of the recovery process, the Road Home program offers compensation grants to homeowners who want to rebuild or repair their homes, move to another home within the State, or sell their property and move out of state. For those homeowners who want to repair, rebuild, or sell and move to another property in Louisiana, Road Home offers grants for rebuilding and repair and additional funding to elevate property. Any previously received FEMA or insurance, including NFIP, proceeds are subtracted from the total grant awarded. These Federal investments are being made with the expectation that recovery complies with the National Flood Insurance Program's (NFIP) adjusted base flood elevations (ABFEs). The Road Home requirement to elevate to the ABFE, however, is limited to new structures or those where the assessed flood damage was substantial, i.e. more than 50 percent of the structure value.

Another Federal program being utilized to reduce risk in the planning area is FEMA's Hazard Mitigation Grant Program (HMGP). This program, however, has funding and eligibility requirements that limit its effectiveness in reducing residual risk.

A Corps program could supplement existing Road Home and HMGP programs in which requirements other than identified risk must be met for program eligibility. In other words, the Corps program is intended to allow for a more systematic non-structural implementation by providing funding for risk reduction while other Federal monies are committed to economic recovery. Additionally, in order to provide resiliency to the area and redundancy to the flood risk reduction system, the USACE's nonstructural measures program would provide a level of risk reduction that corresponds to at least that recommended by the LACPR report. Should the level of risk reduction recommended exceed the ABFE target elevations, that increment of elevation above the ABFE target would be considered part of the LACPR nonstructural project.

It is further noted that the Federal government forbids two or more Federal agencies from providing compensation to cover the same loss. Coordination across Federal agencies will also be required to avoid duplication of funding.

General Procedures

The USACE would develop a Procedures Manual upon receiving authorization and appropriation of the recommendation to create a program for implementation of

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nonstructural measures for southern Louisiana. This procedures manual would contain necessary elements for implementing the nonstructural program and would be patterned after the Huntington District's administration of its Section 202 program. Except for noted differences, all USACE standard operating procedures would be maintained. All environmental compliance, hazard and toxic waste abatement, and historic and cultural preservation laws and policies that apply to Federal civil works projects would apply to the implementation of the nonstructural measures program.

Elements that would be addressed by the Procedures Manual would include but not be limited the topics discussed below.

Local community involvement in the Planning process

Local community involvement is a requisite for program success. In order to achieve sustainable storm risk reduction, difficult decisions will be required, thereby necessitating intense stakeholder involvement. Program participation would stem from application by local or State governments that possess the authority to enter into cost-sharing agreements with the Federal government.

Individual participation and application

Individual participation in the program would evolve from the non-Federal sponsor. Owners of eligible properties would be required to apply to participate. The Huntington District has developed the process and forms for program application that have utility to the LACPR program.

Ranking of participants is most likely necessary for the disbursement of available funding. Applicants would be screened and ranked for participation with regard to storm risk associated with their property. The LACPR evaluation has produced indicators of risk based on storm velocity and depth of flood inundation. These criteria would be applied to screening and ranking of applicants. Additional ranking criteria may be needed to possibly include social effects, community cohesion, local or state recovery priorities, as well as any leveraging of funds from other programs.

Design, construction, inspection, operation and maintenance of nonstructural measures

The design, construction, and inspection of nonstructural measures could be the responsibility of the Federal government. Operation and maintenance activities would be responsibility of the non-Federal sponsor and the individual property owner.

Real estate and legal considerations

Interests in real property would be acquired by negotiated direct purchases and by negotiated flood proofing agreements. Interests acquired by direct purchase and by flood proofing agreements could be acquired directly in the name of the non-Federal sponsor.

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Real estate procedures for property appraisals, land surveys, property acquisition, demolition, disposal and other requirements would be established in the Procedures Manual and would reflect standard methods employed by the Federal government. Acquisitions and flood proofing procedures would be established to conform to standard procedures. All legal agreements, covenants, and documents would be endorsed by the USACE with regard to Federal interests. The Huntington District has established procedures and forms which can be used as examples to address these procedural elements.

Negotiation procedures

The Huntington District example contains established procedures which outline negotiations procedures between the Federal government and the property owner.

Procedural support for applicants

Support would be provided to individual property owners with regard to the procedural details of program participation. This would include the proper completion and execution of necessary documents, counseling with regard to program eligibility and other concerns that may arise.

Property Acquisitions and Relocation Assistance

Property buyouts are an important nonstructural measure for risk reduction. Acquisitions entail owners selling property to the non-Federal sponsor so that improvements can be cleared and the parcels left vacant or converted to a use that is compatible with their associated risk.

In addition to receiving fair market value for the property acquired, owners of real property acquired for Federal projects are entitled to receive relocation assistance under Public Law 91-646, the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (PL91-646). Such assistance generally consists of a replacement housing payment and payment for moving expenses. A displaced homeowner may receive up to \$22,500 to acquire a comparable replacement dwelling. This amount can be increased if comparable homes are not available in the market. Generally the replacement housing payment is the difference between the fair market value of the home acquired and the cost to acquire a comparable home at a site with reduced flood risk, typically outside the 100-year floodplain. The displaced homeowner is entitled to decent, safe, and sanitary accommodations as part of relocation assistance.

Of specific interest to the LACPR effort is the situation in which the property targeted for buyout for risk reduction has lost its improvements or its improvements are uninhabitable as a result of the storm event. Generally in order for a homeowner to be eligible for relocation assistance, that homeowner must occupy the property for 180 days prior to acquisition. But because many of the persons displaced by Hurricane Katrina may not

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occupy the property when the acquisition phase of the project is commenced, there is some question regarding their eligibility for relocation assistance.

Some guidance on this question with respect to residential properties is provided by the Robert T. Stafford Disaster Relief and Emergency Assistance Act as amended, 42 USC § 5121 (Stafford Act) and PL91-646 regulations. Section 414 of the Stafford Act does not deny eligibility for relocation benefits to displaced persons whose property is uninhabitable because of a major disaster as determined by the President to meet the occupancy requirements set forth by PL91-646. In 49 CFR § 24.403(d) (additional rules governing replacement housing payments) reflects this § 414 requirement. That section provides that “No person is denied eligibility for a replacement housing payment solely because the person is unable to meet the occupancy requirements...for a reason beyond his or her control, including: (1) A disaster, an emergency, or an imminent threat to the public health or welfare, as determined by the President, the Federal Agency funding the project, or the displacing Agency.”

Extending these provisions to implementation of nonstructural measures for risk reduction within South Louisiana and applying relocation assistance to all Federal project acquisitions in support of the LACPR recommendation could significantly influence the success of the nonstructural program. This aspect of the program could support local initiatives for redevelopment and population concentration to areas that are less risk-prone as is the goal of the City of New Orleans’ Recovery Plan while also meeting the LACPR objective of overall risk reduction to the population. This application of relocation assistance would allow for both risk reduction and resilient economic recovery.

Alternatives to Direct Property Acquisitions

Other possible mechanisms for acquiring real property in support of risk reduction could require Congressional authorization but are worthy of consideration. Many local governments resist nonstructural buyouts for fear of losing their tax base along with the social fabric of their communities. Given the fact that many households have been reestablished since the devastation of 2005, a funding program could be established whereby options to purchase properties could be extended to homeowners in high risk areas. This would constitute a form of property lien to be exercised at the time that the property is vacated either by attrition or in the event of another catastrophe. Other real estate mechanisms for property acquisition that are available in the market such as reverse mortgages could be investigated for application in situations where property owners desire to live in their homes for the remainder of their lives. These mechanisms would not produce risk reduction immediately, but would allow for a gradual and permanent risk reduction without the overt disruption that many communities fear. These types of creative solutions could be explored in collaboration with local governments when determining the trade-offs between risk reduction and other societal concerns.

Relocations and Raising-in-place

The structural integrity of property improvements may allow for relocation of that structure by lifting and moving to a site having a target elevation for risk reduction or allow for lifting the structure in place to a target elevation. Temporary relocation assistance will be offered to participants in a manner that is consistent with normal Corps procedures.

Project justification, cost-sharing and ability-to-pay provisions

Section 202 of the Energy and Water Development Appropriations Act of 1981 was the first of a series of laws that set the precedent for risk reduction in areas of West Virginia and Kentucky that failed to compete for Federal assistance using traditional economic justification methodology. The social and economic plight within the State of Louisiana brought about by Hurricanes Katrina and Rita presents another case whereby the interest of storm risk reduction takes precedence over traditional requirements for economic benefit-over-cost justification. Additionally, because the objective of LACPR is to reduce risk from rare catastrophic events, the traditional analytical method of reducing an event's damages by the probability of its occurrence does not accurately portray the consequences of the event. To that end, the nonstructural measures program would not require an economic benefit-over-cost justification but would require that risk reduction be achieved in a cost effective manner.

In order to achieve both objectives of economic recovery and storm risk reduction, special consideration would be granted for program participation. Non-Federal sponsors, strapped for funds with which to participate in the program, might have their traditional cost-sharing obligation reduced based on the shared interest of supporting economic recovery in a timely and risk-responsible manner. Ability to pay provisions would reflect the financial condition of the non-Federal sponsor.

Program Administration

The general implementation plan for LACPR outlines a new organizational framework for the execution of LACPR projects. The proposed new program management process, the governing Decision Board, and the Integration Support Team would be responsible for all LACPR project implementation, including the program for nonstructural measures implementation. The proposed new LACPR program management structure with its collaborative adaptive management focus incorporates both objectives of recovery and storm risk reduction. However, due to the need for extensive coordination with local and State government and communities, implementation of the LACPR nonstructural program would likely require a "nonstructural support team" that includes professional staff not normally involved in Corps projects. This staff would include urban planners, community outreach specialists, and residential construction experts.

Next Steps to Implementation

The next phase of work would be a transition from the high-level analysis performed thus far to a community-based collaboration and evaluation process. The nonstructural appendix describes the formulation and evaluation of nonstructural measures. The appendix also describes plans that would complement the levees and floodwall systems and plans that could substitute for levees and walls in some locations. The scale of these evaluations demonstrates the potential performance of these measures; however a number of analytical and procedural issues need to be resolved in order to transition to implementation.

Further analysis would be needed to refine the assessment of risks drawn from the storm modeling and flood risk mapping as well as to refine individual plan's effects and costs. In the nonstructural appendix, plan formulation criteria were based upon depth and velocity of flooding. The plans were then evaluated for their potential to reduce flood damages and to remove population from the floodplain as well as for their costs. During program implementation, these plans would be further evaluated in collaboration with local communities and other partners for a more explicit accounting of project impacts and a customized application of nonstructural measures. An appropriate mix of flood proofing, elevating-in-place, and buyouts would be determined for each participating community. The nonstructural program would continue to apply the risk-informed decision framework, relying heavily on collaboration with stakeholders to formulate and evaluate plans and to prioritize investments according to the risk reduction goals of the program.

The demonstration projects developed for LACPR apply a variety of nonstructural measures to the particular needs of communities. These demonstration projects are an excellent opportunity to "kick start" the nonstructural program and should represent the initial phase of the nonstructural implementation program.

Summary

This paper presents the rationale and a proposed strategy for the creation of a programmatic approach to implementing nonstructural measures as part of LACPR. The nonstructural program would identify, evaluate and prioritize nonstructural projects according to their contributions towards achieving the risk reduction goals of LACPR. The program would continue to use the risk-informed decision framework that has been developed during the completion of the technical report. The decision framework emphasizes the importance of collaborative planning between the Corps team, partners and the community. The personal nature of nonstructural measures increases the importance of this collaborative approach. The program would rely upon adaptive management practices to assure that new knowledge is incorporated into program decisions to deliver nonstructural measures as efficiently and effectively as possible.

Attachment 2

Examples of Cost Determination

The following information is provided to show actual costs as provided by Huntington District for some nonstructural measures.

All costs include E&D and S&A

Elevation of residential buildings with slab on grade attached

Elevate 0' – 6' above adjacent grade, existing structure = \$85 per sq ft of building foot print

Elevate 7' - 15' above adjacent grade, existing structure = \$95 per sq ft of building foot print

Nonstructural flood wall around to protect a school

2300 linear feet of flood wall

7' wall height

12' roller gate for vehicles

12' pedestrian gate

34' access ramp over wall

2 – 268 gpm pump stations for

Interior drainage

Cost--\$5,100,000

Combination Town Hall [TH] & Fire Station [FS]

Demolish existing building and reconstruct new building at a relocation site

T.H. – 1800 sq ft (offices, conference room and rest room)

F.S. – 2400 sq ft (office, BR/showers, bays to house 2 – 28' pumper trucks and 1 20' rescue truck)

\$80,000 to demolish old structure

\$950,000 construction

Dry Flood Proofing a Commercial Building

Dry flood proof an existing commercial building that is slab on grade, good condition, brick veneer type construction, building foot print of 4000 square feet, three door openings elevated 1 foot above the adjacent grade, 80 feet of window elevated 3 feet above the adjacent grade, single story.

\$72,000 to dry flood proof three feet above the adjacent grade and add another layer of brick veneer.

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School Relocation

57,500 sq ft pre-K thru 8. Old building demolished and new building constructed at new development site
Total cost construction, E&D and S&A = \$10,698,531
\$186 per sq ft



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Acquisition

| |
|---|
| 3,000 sf Brick Rancher with basement, garage & carport; .36 acre city lot |
| Acquisition: \$133,000 |
| Relocation: \$ 26,000 |
| Demolition: <u>\$ 71,000</u> (includes asbestos & underground kerosene tank removal) |
| TOTAL: \$230,000 |
| 4,200 sf 1.5 story brick with basement, in ground pool, two car garage, .37 acre city lot |
| Acquisition: \$250,000 |
| Relocation: \$ 38,000 (estimated Housing Differential) |
| Demolition: <u>\$ 60,000</u> |
| TOTAL: \$348,000 |
| 2,350 sf 2 story frame/brick no basement, .27 acre rural lot |
| Acquisition: \$105,000 |
| Relocation: \$ 23,000 |
| Demolition: <u>\$ 30,000</u> |
| TOTAL: \$158,000 |



Before



New facility by relocations contract

Attachment 3
Costs for Raising in Place

Prepared by:
Huntington District
USACE

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Print Date Tue 24 July 2007
Eff. Date 10/1/2007

U.S. Army Corps of Engineers
Project : Gulf Coast Flood Proofing
Summary Report

Time 15:01:09
Title Page

Estimated by CELRH-ECT
Designed by CELRH-PD, CELRH-ECD
Prepared by Donald Whitmore, P.E.
Preparation Date 7/18/2007
Effective Date of Pricing 10/1/2007
Estimated Construction Time Days
This report is not copyrighted, but the information contained herein is For Official Use Only.

| <u>Date</u> | <u>Author</u> | <u>Note</u> |
|-------------|---------------|---|
| 7/18/2007 | | <p>SCOPE</p> <p>This cost estimate is comprised of 4 model estimates:</p> <ol style="list-style-type: none">1. New house construction on pier foundation at a finished first floor of 6 FT above low ground. Costs included herein have been developed to represent requirements associated with constructing a new structure on an elevated pier foundation ranging from 0' - 6' above low ground.2. New house construction on pier foundation at a finished first floor of 15 FT above low ground. Costs included herein have been developed to represent requirements associated with constructing a new structure on an elevated pier foundation ranging from 6.1' - 15' above low ground.3. Raise of existing house on slab foundation to a finished first floor of 6 Ft above low ground. Costs included herein have been developed to represent requirements associated with elevating an existing structure on an elevated pier foundation ranging from 0' – 6' above low ground.4. Raise of existing house on slab foundation to a finished first floor of 15 Ft above low ground. Costs included herein have been developed to represent requirements associated with elevating an existing structure on an elevated pier foundation ranging from 6.1' - 15' above low ground. <p>In each case, the structure is assumed to have a living area 1,600 SF. For the purposes of this estimate, the cost engineer assumed a simple rectangular house with outside dimensions of 25' x 64'. This yields an area of 1600 SF and a perimeter of 178 LF.</p> <p>LEVEL OF EFFORT</p> <p>This estimate is considered to be preliminary in nature and is to be used as such. The scopes provided to the cost engineer were very general. Indeed, the level of effort put forth by the cost engineer is commensurate to the general nature of the design.</p> <p>PRICE LEVEL</p> <p>The costs contained within this estimate have been prepared at a Price Level equivalent to 1 October 2007. Contingency has been included generally at 25%. However, this may have varied on an item by item basis as deemed appropriate by the engineer.</p> <p>COST SOURCES</p> <p>A variety of cost were used in preparing this estimate. The primary sources were:</p> <ul style="list-style-type: none">-Marshall & Swift Residential Estimator 7-LRH's Section 202 Implementation Floodproofing Cost Model-MEANS Heavy Construction, 2005 |

| Description | | Quantity | UOM | ContractCost | Contingency | ProjectCost |
|---|--|----------|-----|---------------------------------|-------------|---------------------------------|
| Summary | | | | 630,464.15 | 157,616.04 | 788,080.19 |
| New Structure - 6 feet off low ground | | 1,600.00 | SF | ^{115.57} 184,915.53 | 46,228.88 | ^{144.47} 231,144.41 |
| New Structure - 15 feet off low ground | | 1,600.00 | SF | ^{125.62} 200,996.71 | 50,249.18 | ^{157.03} 251,245.89 |
| Existing Structure - 6 feet off low ground | | 1,600.00 | SF | ^{70.00} 112,000.00 | 28,000.00 | ^{87.50} 140,000.00 |
| Existing Structure - 15 feet off low ground | | 1,600.00 | SF | ^{82.84} 132,551.91 | 33,137.98 | ^{103.56} 165,689.89 |

| Description | | Quantity | UOM | ContractCost | Contingency | ProjectCost |
|---|--------------|------------|-----------|--------------|-------------|-------------|
| Detail | | 536,504.06 | 93,960.09 | 630,464.15 | 157,616.04 | 788,080.19 |
| | | 87.70 | | 115.57 | | 144.47 |
| New Structure - 6 feet off low ground | 1,600.00 SF | 140,326.56 | 44,588.97 | 184,915.53 | 46,228.88 | 231,144.41 |
| | | 41,585.06 | | 54,947.78 | | 68,684.73 |
| Foundation & Structure Below Sill Plate | 1.00 EA | 41,585.06 | 13,362.72 | 54,947.78 | 13,736.95 | 68,684.73 |
| | | 617.30 | | 815.66 | | 1,019.58 |
| Timber Piles | 60.00 EA | 37,038.06 | 11,901.61 | 48,939.67 | 12,234.92 | 61,174.59 |
| (Note: Assume a 6' grid for a house that is 25' x 64' (i.e., a 1600 SF house).) | | | | | | |
| | | 13.72 | | 18.13 | 25.00 | 22.66 |
| Timber Piles | 2,700.00 VLF | 37,038.06 | 11,901.61 | 48,939.67 | 12,234.92 | 61,174.59 |
| (Note: Material price taken from MEANS. Piles are assumed to be 12" diameter at the head and are to be embedded 40' into the ground.) | | | | | | |
| | | 4,547.00 | | 6,008.11 | | 7,510.14 |
| Pressure Treated Lumber | 1.00 EA | 4,547.00 | 1,461.11 | 6,008.11 | 1,502.03 | 7,510.14 |
| (Note: Assume 2 9' braces per span. A 6x6 grid for a 25 x 64 house yields 60 piles with 55 spans. Therefore, total bracing = 2 x 9 x 55 = 990 LF of 4x4 bracing<---) | | | | | | |
| | | 3.30 | | 4.36 | 25.00 | 5.45 |
| 4x4 Cross Bracing | 990.00 LF | 3,267.00 | 1,049.80 | 4,316.80 | 1,079.20 | 5,396.00 |
| (Note: Reference LRH's 202 floodproofing implementation model.) | | | | | | |
| | | 2.00 | | 2.64 | 25.00 | 3.30 |
| Pressure Treated Lumber, 2x10 | 640.00 LF | 1,280.00 | 411.31 | 1,691.31 | 422.83 | 2,114.14 |
| (Note: Reference LRH's 202 floodproofing implementation model.) | | | | | | |
| | | 53.19 | | 70.28 | | 87.85 |
| Structure Above Sill Plate | 1,600.00 SF | 85,104.00 | 27,346.85 | 112,450.85 | 28,112.71 | 140,563.57 |
| | | 53.19 | | 70.28 | 25.00 | 87.85 |
| New Structure - Above Sill Plate | 1,600.00 SF | 85,104.00 | 27,346.85 | 112,450.85 | 28,112.71 | 140,563.57 |
| (Note: Cost estimated by Marshall-Swift for an average quality 1600 SF structure in the Gulfport, MS area. See backup sheet from Marshall Swift. Estimated unit price is \$68.35/SF including O&P. Since the pier foundation is estimated elsewhere in this estimate, the standard CIP wall foundation should be deleted from this Marshall-Swift estimate. Also, the overhead and profit should be deleted here. MII will add O&P to the direct cost unit price under the Project Item tab. O&P is estimated to be 14.5% in the Marshall-Swift program. The foundation is estimated to cost \$67/LF of perimeter (MEANS), including O&P. \$67/LF x (25x2 + 64x2) = \$11,926. Now, \$11,926 / 1600 SF = \$7.45/SF that is to be deducted from the estimated unit price for new construction. So, \$68.35/SF - \$7.45/SF = \$60.90/SF (w/ O&P) Subtracting O&P, \$60.90/SF / 1.145 = \$53.19/SF<---) | | | | | | |
| Misc | 1.00 LS | 13,637.49 | 3,879.40 | 17,516.89 | 4,379.22 | 21,896.11 |
| Misc | 1.00 LS | 9,673.49 | 2,751.78 | 12,425.27 | 3,106.32 | 15,531.58 |
| (Note: This item of work covers the cost of site work concrete, porches, as well as other items such as landscaping, exterminating, and construction cleanup for a structure whose first floor is 6' off low ground. Price estimated by LRH's 202 floodproofing implementation model.) | | | | | | |
| | | 3,964.00 | | 5,091.63 | 25.00 | 6,364.53 |
| Utility Hookups | 1.00 EA | 3,964.00 | 1,127.62 | 5,091.63 | 1,272.91 | 6,364.53 |
| (Note: This is an allowance to cover the costs of installing (or having installed) the water, electric, and gas meters.) | | | | | | |
| | | 95.40 | | 125.62 | | 157.03 |
| New Structure - 15 feet off low ground | 1,600.00 SF | 152,633.68 | 48,363.03 | 200,996.71 | 50,249.18 | 251,245.89 |
| | | 48,992.68 | | 64,735.72 | | 80,919.65 |

| Description | | Quantity | UOM | ContractCost | Contingency | ProjectCost |
|---|--|--------------|-----|--------------|-------------|-------------|
| Foundation & Structure Below Sill Plate | | 1.00 EA | | 48,992.68 | 15,743.04 | 64,735.72 |
| | | | | | | 16,183.93 |
| | | | | | | 80,919.65 |
| Timber Piles | | 60.00 EA | | 44,445.68 | 14,281.93 | 58,727.61 |
| (Note: Assume a 6' grid for a house that is 25' x 64' (i.e., a 1600 SF house).) | | | | | | 14,681.90 |
| | | | | | | 73,409.51 |
| Timber Piles | | 3,240.00 VLF | | 44,445.68 | 14,281.93 | 58,727.61 |
| (Note: Material price taken from MEANS. Piles are assumed to be 12" diameter at the head and are to be embedded 40' into the ground. Q*54) | | | | | | 14,681.90 |
| | | | | | | 73,409.51 |
| Pressure Treated Lumber | | 1.00 EA | | 4,547.00 | 1,461.11 | 6,008.11 |
| (Note: Assume 2 9' braces per span. A 6x6 grid for a 25 x 64 house yields 60 piles with 55 spans. Therefore, total bracing = 2 x 9 x 55 = 990 LF of 4x4 bracing<---) | | | | | | 1,502.03 |
| | | | | | | 7,510.14 |
| 4x4 Cross Bracing | | 990.00 LF | | 3,267.00 | 1,049.80 | 4,316.80 |
| (Note: Reference LRH's 202 floodproofing implementation model. Assume 2 9' braces per span. A 6x6 grid for a 25 x 64 house yields 60 piles with 55 spans. Therefore, total bracing = 2 x 9 x 55 = 990 LF of 4x4 bracing<---) | | | | | | 1,079.20 |
| | | | | | | 5,396.00 |
| Pressure Treated Lumber, 2x10 | | 640.00 LF | | 1,280.00 | 411.31 | 1,691.31 |
| (Note: Reference LRH's 202 floodproofing implementation model.) | | | | | | 422.83 |
| | | | | | | 2,114.14 |
| Structure Above Sill Plate | | 1,600.00 SF | | 85,104.00 | 27,346.85 | 112,450.85 |
| | | | | | | 28,112.71 |
| | | | | | | 140,563.57 |
| New Structure - Above Sill Plate | | 1,600.00 SF | | 85,104.00 | 27,346.85 | 112,450.85 |
| (Note: Cost estimated by Marshall-Swift for an average quality 1600 SF structure in the Gulfport, MS area. See backup sheet from Marshall Swift. Estimated unit price is \$68.35/SF including O&P. Since the pier foundation is estimated elsewhere in this estimate, the standard CIP wall foundation should be deleted from this Marshall-Swift estimate. Also, the overhead and profit should be deleted here. MII will add O&P to the direct cost unit price under the Project Item tab. O&P is estimated to be 14.5% in the Marshall-Swift program. The foundation is estimated to cost \$67/LF of perimeter (MEANS), including O&P. \$67/LF x (25x2 + 64x2) = \$11,926. Now, \$11,926 / 1600 SF = \$7.45/SF that is to be deducted from the estimated unit price for new construction. So, \$68.35/SF - \$7.45/SF = \$60.90/SF (w/ O&P) Subtracting O&P, \$60.90/SF / 1.145 = \$53.19/SF<---) | | | | | | 28,112.71 |
| | | | | | | 140,563.57 |
| Misc | | 1.00 LS | | 18,537.00 | 5,273.14 | 23,810.14 |
| Misc - 15' off low ground | | 1.00 LS | | 11,029.18 | 3,137.42 | 14,166.60 |
| (Note: This item of work covers the cost of site work concrete, porches, as well as other items such as landscaping, exterminating, and construction cleanupfor a structure whose first floor is 6' off low ground. Price estimated by LRH's 202 floodproofing implementation model.) | | | | | | 3,541.65 |
| | | | | | | 17,708.25 |
| Utility Hookups | | 1.00 EA | | 3,964.00 | 1,127.62 | 5,091.63 |
| (Note: This is an allowance to cover the costs of installing (or having installed) the water, electric, and gas meters.) | | | | | | 1,272.91 |
| | | | | | | 6,364.53 |
| 300 SF Stoarge Area | | 300.00 SF | | 3,543.82 | 1,008.09 | 4,551.91 |
| (Note: This would only apply to structures that area greater than 6 FT above low ground. In this estimate, that means that it only applies to the 8' - 15' raise category.) | | | | | | 1,137.98 |
| | | | | | | 5,689.89 |
| 4" Concrete Pad | | 300.00 SF | | 1,958.22 | 557.05 | 2,515.26 |
| (Note: Price from LRH's floodproofing model for 4" concrete = \$370/CY, direct cost. SAY = \$400/CY for the gulf coast. Now, \$400/CY x (4in/36in/yd) = \$44.44/SY. So, \$44.44/SY / 9 SF/SY = \$4.94/SF<---) | | | | | | 628.82 |
| | | | | | | 3,144.08 |

| Description | | Quantity | UOM | ContractCost | Contingency | ProjectCost |
|---|--------------------|-------------------|-----------------|-------------------|------------------|-------------------|
| | | 13.21 | | 16.97 | 25.00 | 21.22 |
| Siding (Note: = 10 x 2 + 30 x 2 = 80 SF) | 80.00 EA | 1,057.07 | 300.70 | 1,357.77 | 339.44 | 1,697.21 |
| | | 264.27 | | 339.44 | 25.00 | 424.30 |
| Door | 1.00 EA | 264.27 | 75.17 | 339.44 | 84.86 | 424.30 |
| Electrical Allowance | 1.00 LS | 264.27 | 75.17 | 339.44 | 84.86 | 424.30 |
| | | 70.00 | | 70.00 | | 87.50 |
| Existing Structure - 6 feet off low ground (Note: This price already includes all contractor markups. Therefore, none have been added here.) | 1,600.00 SF | 112,000.00 | 0.00 | 112,000.00 | 28,000.00 | 140,000.00 |
| | | 70.00 | | 70.00 | 25.00 | 87.50 |
| Raise Structure on Segmented Piles to 6' off low ground (Note: Reference Pat Davie of Davie Shoring. Pat said that costs for a turnkey job would normally run about \$70/SF to raise a slab foundation house. This price would be for a slab foundation struture whose finished first floor would be greater than 4' above low ground. It is suspected that Pat pays significantly less than Davis-Bacon wages. PD was consulted on this issue. PD recommended that since this project is to be formulated on the basis that Davis-Bacon is not a requirement, the pricing info provided by Mr. Davie is acceptable.) | 1,600.00 SF | 112,000.00 | 0.00 | 112,000.00 | 28,000.00 | 140,000.00 |
| | | 82.21 | | 82.84 | | 103.56 |
| Existing Structure - 15 feet off low ground (Note: This price already includes all contractor markups. Therefore, none have been added here.) | 1,600.00 SF | 131,543.82 | 1,008.09 | 132,551.91 | 33,137.98 | 165,689.89 |
| | | 80.00 | | 80.00 | 25.00 | 100.00 |
| Raise Structure on Segmented Piles to 15' off low ground (Note: Reference Pat Davie of Davie Shoring. Pat said that costs for a turnkey job would normally run about \$70/SF to raise a slab foundation house. He said that costs would likely be higher than this for a raise as high as 15' off low ground. Therefore, add \$10/SF to cover this higher raise. This price would be for a slab foundation struture whose finished first floor would be greater than 15' above low ground. PD was consulted on this issue. PD recommended that since this project is to be formulated on the basis that Davis-Bacon is not a requirement, the pricing info provided by Mr. Davie is acceptable.) | 1,600.00 SF | 128,000.00 | 0.00 | 128,000.00 | 32,000.00 | 160,000.00 |
| | | 11.81 | | 15.17 | | 18.97 |
| 300 SF Stoarge Area (Note: This would only apply to structures that area greater than 6 FT above low ground. In this estimate, that means that it only applies to the 8' - 15' raise category.) | 300.00 SF | 3,543.82 | 1,008.09 | 4,551.91 | 1,137.98 | 5,689.89 |
| | | 6.53 | | 8.38 | 25.00 | 10.48 |
| 4" Concrete Pad (Note: Price from LRH's floodproofing model for 4" concrete = \$370/CY, direct cost. SAY = \$400/CY for the gulf coast. Now, \$400/CY x (4in/36in/yd) = \$44.44/SY. So, \$44.44/SY / 9 SF/SY = \$4.94/SF<---) | 300.00 SF | 1,958.22 | 557.05 | 2,515.26 | 628.82 | 3,144.08 |
| | | 13.21 | | 16.97 | 25.00 | 21.22 |
| Siding (Note: = 10 x 2 + 30 x 2 = 80 SF) | 80.00 EA | 1,057.07 | 300.70 | 1,357.77 | 339.44 | 1,697.21 |
| | | 264.27 | | 339.44 | 25.00 | 424.30 |
| Door | 1.00 EA | 264.27 | 75.17 | 339.44 | 84.86 | 424.30 |
| Electrical Allowance | 1.00 LS | 264.27 | 75.17 | 339.44 | 84.86 | 424.30 |